



## On a Class Of $h$ -Fourier Integral Operators With The Complex Phase

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ABSTRACT: In this work, we study the  $L^2$ -boundedness and  $L^2$ -compactness of a class of  $h$ -Fourier integral operators with the complex phase. These operators are bounded (respectively compact) if the weight of the amplitude is bounded (respectively tends to 0).

Key Words:  $h$ -Fourier integral operators,  $h$ -pseudodifferential operators, complex function, Symbol and phase.

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### 1. Introduction

Since 1970, numerous mathematicians are interested in these types of operators:

$$F\varphi(x) = (2\pi h)^{-n} \iint e^{\frac{i}{h}(S(x,\theta) - y\theta)} a(x, \theta) \varphi(y) dy d\theta, \varphi \in S(\mathbb{R}^n). \quad (1.1)$$

like [6,12,1,9,7,18]. The integral operators (1.1) appear naturally in the expression of the solutions of the semiclassical hyperbolic partial differential equations and when expressing the  $C^\infty$  solution of the associated Cauchy's problem. Two  $C^\infty$  functions appear in (1.1): the phase function  $\phi(x, y, \theta) = S(x, \theta) - y\theta$  and the amplitude  $a$ .

In 1974 Melin and Sjostrand [15] studied an extension of the computation of the Fourier integral in the case where the phase functions assume complex values.

Our work consist a spectral study the  $L^2$ -boundedness and  $L^2$ -compactness of a class of  $h$ -Fourier integral operators with the complex phase; we're more particularly interested in continuity studies and on compactness on  $L^2(\mathbb{R}^n)$ .

It was proven in [1] by a very elaborate demonstration and under certains conditions (relatively strong) on the phase function  $\phi$  and the amplitude  $a$  that all operators of the form:

$$(I(a, \phi; h)\psi)(x) = (2\pi h)^{-n} \int_{\mathbb{R}_y^n} \int_{\mathbb{R}_\theta^N} e^{\frac{i}{h}\phi(x,\theta,y)} a(x, \theta, y) \psi(y) dy d\theta$$

are bounded on  $L^2$ , where  $\psi \in \mathcal{S}(\mathbb{R}^n)$  (the Schwartz space),  $x \in \mathbb{R}^n$ ,  $n \in \mathbb{N}^*$  and  $N \in \mathbb{N}$ .

The used technique is to show that  $I(a, \phi)I^*(a, \phi)$ ,  $I^*(a, \phi)I(a, \phi)$  are  $h$ -pseudodifferential and apply the Calderòn-Vaillancourt's theorem (here  $I^*(a, \phi)$  is the adjoint of  $I(a, \phi)$ ).

In this paper, we will apply the same technic of [1] to establish  $L^2$ -boundedness and  $L^2$ -compactness of form (1.1) operators. That's why we will give brief demonstrations.

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We mainly prove the continuity of the operator  $F_h$  on  $L^2(\mathbb{R}^n)$  when the weight of the amplitude  $a$  is bounded. Moreover,  $F_h$  is compact on  $L^2(\mathbb{R}^n)$  if this weight tends to zero. Using the estimate given in [17,19] for  $h$ -pseudodifferential ( $h$ -admissible) operators, we also establish an  $L^2$ -estimate of  $\|F_h\|$ .

We note that if the amplitude  $a$  is just bounded, the Fourier integral operator  $F$  is not necessarily bounded on  $L^2(\mathbb{R}^n)$ .

## 2. A general class of $h$ -Fourier integral operators with the complex phase

We consider the following integral transformations

$$(I(a, \phi; h)\psi)(x) = (2\pi h)^{-n} \iint_{\mathbb{R}_y^n \times \mathbb{R}_\theta^N} e^{\frac{i}{h}\phi(x, \theta, y)} a(x, \theta, y) \psi(y) dy d\theta \quad (2.1)$$

for  $\psi \in \mathcal{S}(\mathbb{R}^n)$ ,  $x \in \mathbb{R}^n$ ,  $n \in \mathbb{N}^*$  and  $N \in \mathbb{N}$  (if  $N = 0$ ,  $\theta$  doesn't appear in (2.1)).

In general, the integral (2.1) is not absolutely convergent, so we use the technique of the oscillatory integral developed by Hörmander [13]. The phase function and the amplitude  $a$  are assumed to satisfy the following hypothesis:

(H1)

$$\phi \in C^\infty(\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n, \mathbb{C})$$

when  $\phi$  is a complex function,  $\text{Im}(\phi)$  is non negative.

(H2) For all  $(\alpha, \beta, \gamma) \in \mathbb{N}^n \times \mathbb{N}^N \times \mathbb{N}^n$ , there exists  $C_{\alpha, \beta, \gamma} > 0$ , such that :

$$\left| \partial_y^\gamma \partial_\theta^\beta \partial_x^\alpha \phi(x, \theta, y) \right| \leq C_{\alpha, \beta, \gamma} \lambda^{(2-|\alpha|-|\beta|-|\gamma|)_+}(x, \theta, y)$$

where

$$\lambda(x, \theta, y) = \left(1 + |x|^2 + |\theta|^2 + |y|^2\right)^{1/2},$$

$$(2 - |\alpha| - |\beta| - |\gamma|)_+ = \max(2 - |\alpha| - |\beta| - |\gamma|, 0)$$

(H3) There exists  $K_1, K_2 > 0$ , such that:

$$K_1 \lambda(x, \theta, y) \leq \lambda(\partial_y \phi, \partial_\theta \phi, y) \leq K_2 \lambda(x, \theta, y), \text{ for all } (x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$$

(H3)\* There exists  $K_1^*, K_2^* > 0$ , such that:

$$K_1^* \lambda(x, \theta, y) \leq \lambda(x, \partial_\theta \phi, \partial_x \phi) \leq K_2^* \lambda(x, \theta, y), \text{ for all } (x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$$

For any open subset  $\Omega$  of  $\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$ ,  $\mu \in \mathbb{R}$  and  $\rho \in [0, 1]$ ; we set:

$$\Gamma_\rho^\mu(\Omega) = \left\{ a \in C^\infty(\Omega); \forall (\alpha, \beta, \gamma) \in \mathbb{N}^n \times \mathbb{N}^N \times \mathbb{N}^n, \exists C_{\alpha, \beta, \gamma} > 0; \left| \partial_y^\gamma \partial_\theta^\beta \partial_x^\alpha a(x, \theta, y) \right| \leq C_{\alpha, \beta, \gamma} \lambda^{\mu - \rho(|\alpha| + |\beta| + |\gamma|)}(x, \theta, y) \right\}$$

When  $\Omega = \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$ , we denote  $\Gamma_\rho^\mu(\Omega) = \Gamma_\rho^\mu$ . To give a meaning to the right hand side of (2.1), we consider  $g \in \mathcal{S}(\mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n)$ ,  $g(0) = 1$ .

If  $a \in \Gamma_0^\mu$ , we define

$$a_\sigma(x, \theta, y) = g(x/\sigma, \theta/\sigma, y/\sigma) a(x, \theta, y), \quad \sigma > 0$$

**Theorem 2.1.** *If  $\phi$  satisfies (H1), (H2), (H3), (H3)\* and if  $a \in \Gamma_0^\mu$  then:*

1. For all  $\psi \in \mathcal{S}(\mathbb{R}^n)$ ,  $\lim_{\sigma \rightarrow +\infty} [I(a_\sigma, \phi; h)\psi](x)$  exists for every point  $x \in \mathbb{R}^n$  and is independent of the choice of the function  $g$ . We define:

$$(I(a, \phi; h)\psi)(x) := \lim_{\sigma \rightarrow +\infty} (I(a_\sigma, \phi; h)\psi)(x),$$

2.  $I(a, \phi; h) \in \mathcal{L}(\mathcal{S}(\mathbb{R}^n))$  and  $I(a, \phi; h) \in \mathcal{L}(\mathcal{S}'(\mathbb{R}^n))$  (here  $\mathcal{L}(\mathcal{S}(\mathbb{R}^n))$  (resp.  $\mathcal{L}(\mathcal{S}'(\mathbb{R}^n))$ ) is the space of bounded linear mapping from  $\mathcal{S}(\mathbb{R}^n)$  to  $\mathcal{S}(\mathbb{R}^n)$  (resp.  $\mathcal{S}'(\mathbb{R}^n)$  to  $\mathcal{S}'(\mathbb{R}^n)$ ) and  $\mathcal{S}'(\mathbb{R}^n)$  the space of all distributions with temperate growth on  $\mathbb{R}^n$ ).

*Proof.* Let  $\eta \in C^\infty(\mathbb{R}^n)$  such that  $\text{supp}\eta \subseteq [-1, 2]$  and  $\eta \equiv 1$  on  $[0, 1]$ . For all  $\epsilon > 0$ , we set

$$\omega_\epsilon(x, \theta, y) = \eta\left(\frac{|\partial_y \phi|^2 + |\partial_\theta \phi|^2}{\epsilon \lambda(x, \theta, y)^2}\right)$$

The hypothesis (H3) implies that there exists  $C > 0$  such that we have on the support of  $\omega_\epsilon$

$$\lambda(x, \theta, y) \leq C \left[ (1 + |y|^2)^{\frac{1}{2}} + \epsilon^{\frac{1}{2}} \lambda(x, \theta, y) \right]$$

Therefore, there exists  $\epsilon_0$  and a constant  $C_0$ , such that  $\forall \epsilon \leq \epsilon_0$  we have on the support of  $\omega_\epsilon$

$$\lambda(x, \theta, y) \leq C_0(1 + |y|^2)^{\frac{1}{2}}.$$

In the sequel, we fix  $\epsilon = \epsilon_0$ . Then it is immediate that  $I(\omega_\epsilon a_\sigma, \phi; h) \psi$  is an absolutely convergent integral and we have

$$I(\omega_\epsilon a, \phi; h) \psi = \lim_{\sigma \rightarrow +\infty} I(\omega_\epsilon a_\sigma, \phi; h) \psi. \quad (2.2)$$

Using (H2) we prove also that  $I(\omega_\epsilon a, \phi; h) \psi$  is a continuous operator from  $\mathcal{S}(\mathbb{R}^n)$  into itself. To study  $\lim_{\sigma \rightarrow +\infty} I((1 - \omega_\epsilon) a_\sigma, \phi; h) \psi$  we introduce the operator

$$L = -ih \left( |\partial_y \phi|^2 + |\partial_\theta \phi|^2 \right)^{-1} \sum_{l=1}^n [(\partial_{y_l} \phi) \partial_{y_l} - (\partial_{\theta_l} \phi) (\partial_{\theta_l})].$$

Clearly we have

$$L(e^{\frac{i}{h}\phi}) = e^{\frac{i}{h}\phi}. \quad (2.3)$$

Let  $\Omega_0$  be the open subset of  $\mathbb{R}^n \times \mathbb{R}^N \times \mathbb{R}^n$  defined by

$$\Omega_0 = \left\{ (x, \theta, y) \in \mathbb{R}^n \times \mathbb{R}^N \times \mathbb{R}^n; |\partial_y \phi|^2 + |\partial_\theta \phi|^2 > \frac{\epsilon_0}{2} \lambda(x, \theta, y)^2 \right\}.$$

We need the following lemma.

**Lemma 2.2.** *For all integer  $q \geq 0$ , and  $b \in C^\infty(\mathbb{R}_y^n \times \mathbb{R}_\theta^N)$ , we have*

$$({}^t L)^q ((1 - \omega_{\epsilon_0})b) = \sum_{|\alpha|+|\beta| \leq q} g_{\alpha, \beta}^q \partial_y^\alpha \partial_\theta^\beta ((1 - \omega_{\epsilon_0})b),$$

${}^t L$  designates the transpose of  $L$ ,  $g_{\alpha, \beta}^q \in \Gamma_0^{-q}(\Omega_0)$  and depend only on  $\phi$ .

We prove the lemma by recurrence. It is obvious for  $q = 0$ . Now we see easily that

$${}^t L = \sum_l (F_l \partial_{y_l} + G_l \partial_{\theta_l}) + H, \quad (2.4)$$

where  $F_l, G_l$  in  $\Gamma_0^{-1}(\Omega_0)$ , and  $H \in \Gamma_0^{-2}(\Omega_0)$  (wich results from (H2)). Therefore, the recurrence is immediately proved.

We have from (2.3),  $\forall q \geq 0$

$$\begin{aligned} & I((1 - \omega_{\epsilon_0}) a_\sigma, \phi; h) \psi(x) \\ &= \frac{1}{(2\pi h)^n} \iint_{\mathbb{R}_y^n \times \mathbb{R}_\theta^N} e^{\frac{i}{h}\phi(x, \theta, y)} ({}^t L)^q ((1 - \omega_{\epsilon_0}) a_\sigma \psi; h)(x, \theta, y) dy d\theta. \end{aligned} \quad (2.5)$$

Now  $({}^tL)^q((1 - \omega_{\epsilon_0})a_\sigma\psi)$  described (when  $q$  varies) a bound of  $\Gamma_0^{\mu-q}$ , and for all  $(x, \theta, y) \in \mathbb{R}_x^n \times \mathbb{R}_\theta^N \times \mathbb{R}_y^n$

$$\lim_{\sigma \rightarrow \infty} ({}^tL)^q((1 - \omega_{\epsilon_0})a_\sigma\psi)(x, \theta, y) = ({}^tL)^q((1 - \omega_{\epsilon_0})a\psi)(x, \theta, y). \quad (2.6)$$

Finally,  $\forall s > n + N$  we have

$$\iint_{\mathbb{R}_y^n \mathbb{R}_\theta^N} \lambda^{-s}(x, \theta, y) dyd\theta \leq C_s \lambda^{n+N-s}(x). \quad (2.7)$$

So it results from (2.5), (2.7) and using Lebesgue's theorem we have

$$\begin{aligned} & \lim_{\sigma \rightarrow \infty} I((1 - \omega_{\epsilon_0})a_\sigma, \phi; h)\psi(x) \\ &= (2\pi h)^{-n} \iint_{\mathbb{R}_y^n \mathbb{R}_\theta^N} e^{\frac{i}{h}\phi(x, \theta, y)} ({}^tL)^q((1 - \omega_{\epsilon_0})a\psi; h)(x, \theta, y) dyd\theta. \end{aligned} \quad (2.8)$$

where  $q > n + N + \mu$ . From (2.2) and (2.8) we can prove the first part of the theorem.

Now let us show that  $I((1 - \omega_{\epsilon_0})a, \phi; h)$  is continuous. Taking account of (2.4) and (2.8), we get

$$I((1 - \omega_{\epsilon_0})a, \phi; h)\psi(x) = (2\pi h)^{-n} \sum_{|\gamma| \leq q} \iint_{\mathbb{R}_y^n \mathbb{R}_\theta^N} e^{\frac{i}{h}\phi(x, \theta, y)} b_\gamma^{(q)}(x, \theta, y) \partial_y^\gamma \psi(y) dyd\theta, \quad (2.9)$$

with  $b_\gamma^{(q)} \in \Gamma_0^{\mu-q}$ . On the other hand, we have

$$x^\alpha \partial_x^\beta (e^{\frac{i}{h}\phi(x, \theta, y)} b_\gamma^{(q)}(x, \theta, y)) \in \Gamma_0^{\mu-q+|\alpha|+|\beta|}. \quad (2.10)$$

We deduce from (2.9) and (2.10) that, for all  $q > n + N + \mu + |\alpha| + |\beta|$ , there exists a constant  $C_{\alpha, \beta, q}$  such that

$$|x^\alpha \partial_x^\beta I((1 - \omega_{\epsilon_0})a, \phi; h)\psi(x)| \leq C_{\alpha, \beta, q} \sup_{\substack{x \in \mathbb{R}^n \\ |\gamma| \leq q}} |\partial_x^\gamma \psi(x)|,$$

which proves the continuity of  $I((1 - \omega_{\epsilon_0})a, \phi; h)$ .  $\square$

**Example 2.3.** Let us give two examples of operators of the form (1.1) which satisfy (H1) to (H3)\*:

1. The Fourier transform

$$\mathcal{S}(\mathbb{R}^n) \ni \psi \longmapsto \mathcal{F}\psi(x) = \int_{\mathbb{R}^n} e^{-\frac{i}{h}xy} \psi(y) dy,$$

2. Pseudodifferential operators

$$\mathcal{S}(\mathbb{R}^n) \ni \psi \longmapsto Op\psi(x) = (2\pi h)^{-n} \int_{\mathbb{R}^{2n}} e^{\frac{i}{h}(x-y)\theta} a(x, y, \theta) \psi(y) dyd\theta,$$

$$a \in \Gamma_0^\mu(\mathbb{R}^{3n}).$$

### 3. Special form of the phase function

We consider the phase function  $\phi(x, y, \theta) = S(x, \theta) - y\theta$ . Where

$$S(x, \theta) = f(x, \theta) + iT(x, \theta), \quad (3.1)$$

and  $S$  satisfies: (G1)  $S \in C^\infty(\mathbb{R}_x^n \times \mathbb{R}_\theta^n, \mathbb{C})$ , ( $S$  is a complex function)

(G2) For all  $(\alpha, \beta) \in \mathbb{N}^{2n}$ , there exists  $C'_{\alpha, \beta} > 0$ ,

$$\left| \partial_x^\alpha \partial_\theta^\beta f(x, \theta) \right| \leq C'_{\alpha, \beta} \lambda(x, \theta)^{(2-|\alpha|-|\beta|)}$$

(G3) For all  $(x, \theta) \in \mathbb{R}^{2n}$ ,  $T(x, \theta)$  is nonnegative, and for all  $(\alpha, \beta) \in \mathbb{N}^{2n}$ , there exists  $C''_{\alpha, \beta} > 0$ ,

$$\left| \partial_x^\alpha \partial_\theta^\beta T(x, \theta) \right| \leq C''_{\alpha, \beta} \lambda(x, \theta)^{(2-|\alpha|-|\beta|)}$$

(G4) There exists  $\delta_0 > 0$ ,

$$\inf_{x, \theta \in \mathbb{R}^n} \left| \det \frac{\partial^2 f}{\partial x \partial \theta}(x, \theta) \right| \geq \delta_0.$$

**Lemma 3.1.** [16] *If  $S$  satisfies (G1), (G2), (G3) and (G4). Then the function  $\phi(x, y, \theta) = S(x, \theta) - y\theta$  satisfies (H1), (H2), (H3) and (H3)\*.*

**Lemma 3.2.** [16] *If  $S$  satisfies (G1), (G2), (G3) and (G4), then there exists  $C_2 > 0$ , such that for all  $(x, \theta), (x', \theta') \in \mathbb{R}^{2n}$*

$$|x - x'| + |\theta - \theta'| \leq C_2 \left[ \left| (\partial_\theta f)(x, \theta) - (\partial_\theta f)(x', \theta') \right| + \left| \theta - \theta' \right| \right] \quad (3.2)$$

**Lemma 3.3.** [8] *If  $S$  satisfies (G1), (G2) et (G3). Then there exists a constant  $\varepsilon_0 > 0$ , such that the phase function  $\phi$  belongs to  $\Gamma_1^2(\Omega_{\phi, \varepsilon_0})$ , where*

$$\Omega_{\phi, \varepsilon_0} = \left\{ (x, \theta, y) \in \mathbb{R}^{3n}; |\partial_\theta \phi(x, \theta, y)|^2 < \varepsilon_0 (|x|^2 + |y|^2 + |\theta|^2) \right\}$$

**Proposition 3.4.** [8] *If  $(x, \theta) \mapsto a(x, \theta)$  belongs to  $\Gamma_k^m(\mathbb{R}_x^n \times \mathbb{R}_\theta^n)$ , then the function  $(x, \theta, y) \rightarrow a(x, \theta)$  belongs to  $\Gamma_k^m(\mathbb{R}_x^n \times \mathbb{R}_\theta^n \times \mathbb{R}_y^n) \cap \Gamma_k^m(\Omega_{\phi, \varepsilon_0})$ ,  $k \in \{0, 1\}$ .*

### 4. $L^2$ -boundedness and $L^2$ -compactness of $F_h$ with the complex phase

**Theorem 4.1.** *Let  $F_h$  be the integral operator of distribution kernel*

$$K(x, y) = \int_{\mathbb{R}^n} e^{\frac{i}{h} f(x, \theta) - \frac{T(x, \theta)}{h}} a(x, \theta) \widehat{d\theta} \quad (4.1)$$

where  $\widehat{d\theta} = (2\pi)^{-n} d\theta$ ,  $a \in \Gamma_k^m(\mathbb{R}_{x, \theta}^{2n})$ ,  $k = 0, 1$  and  $S$  satisfies (G1), (G2), (G3) and (G4). Then  $FF^*$  and  $F^*F$  are  $h$ -pseudodifferential operators with symbol in  $\Gamma_k^{2m}(\mathbb{R}^{2n})$ ,  $k = 0, 1$ , given by

$$\begin{aligned} \sigma(FF^*)(x, \partial_x f(x, \theta)) &\equiv e^{-\frac{2T(x, \theta)}{h}} |a(x, \theta)|^2 \left| \left( \det \frac{\partial^2 f}{\partial \theta \partial x} \right)^{-1}(x, \theta) \right| \\ \sigma(F^*F)(\partial_\theta f(x, \theta), \theta) &\equiv e^{-\frac{2T(x, \theta)}{h}} |a(x, \theta)|^2 \left| \left( \det \frac{\partial^2 f}{\partial \theta \partial x} \right)^{-1}(x, \theta) \right| \end{aligned}$$

We denote here  $a \equiv b$  for  $a, b \in \Gamma_k^{2p}(\mathbb{R}^{2n})$  if  $(a - b) \in \Gamma_k^{2p-2}(\mathbb{R}^{2n})$  and  $\sigma$  stands for the symbol.

*Proof.* If  $u \in \mathcal{S}(\mathbb{R}^n)$ , we have

$$\begin{aligned}
F_h u(x) &= \int_{\mathbb{R}^n} K(x, y) u(y) dy = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{\frac{i}{h}(f(x, \theta) + iT(x, \theta) - y\theta)} a(x, \theta) u(y) dy d\widehat{\theta} \\
&= \int_{\mathbb{R}^n} e^{\frac{i}{h}f(x, \theta) - \frac{T(x, \theta)}{h}} a(x, \theta) \left( \int_{\mathbb{R}^n} e^{-\frac{i}{h}y\theta} u(y) dy \right) d\widehat{\theta} \\
&= \int_{\mathbb{R}^n} e^{\frac{i}{h}f(x, \theta) - \frac{T(x, \theta)}{h}} a(x, \theta) \mathcal{F}u(\theta) d\widehat{\theta},
\end{aligned} \tag{4.2}$$

where  $\mathcal{F}$  the Fourier transform and for all  $v \in \mathcal{S}(\mathbb{R}^n)$ ,

$$\begin{aligned}
\langle F_h u, v \rangle_{L^2(\mathbb{R}^n)} &= \int_{\mathbb{R}^n} \left( \int_{\mathbb{R}^n} e^{\frac{i}{h}f(x, \theta) - \frac{T(x, \theta)}{h}} a(x, \theta) \mathcal{F}u(\theta) d\widehat{\theta} \right) \overline{v(x)} dx \\
\langle F_h u, v \rangle_{L^2(\mathbb{R}^n)} &= \int_{\mathbb{R}^n} \widehat{u}(\theta) \left( \int_{\mathbb{R}^n} e^{-\frac{i}{h}f(x, \theta) - \frac{T(x, \theta)}{h}} a(x, \theta) v(x) dx \right) d\widehat{\theta},
\end{aligned}$$

then

$$\langle F u(x), v(x) \rangle_{L^2(\mathbb{R}^n)} = (2\pi h)^{-n} \langle \mathcal{F}u(\theta), \mathcal{F}((F_h^* v))(\theta) \rangle_{L^2(\mathbb{R}^n)},$$

and,

$$\mathcal{F}((F_h^* v))(\theta) = \int_{\mathbb{R}^n} e^{-\frac{i}{h}f(\tilde{x}, \theta) - \frac{T(\tilde{x}, \theta)}{h}} \overline{a}(\tilde{x}, \theta) v(\tilde{x}) d\tilde{x}. \tag{4.3}$$

We have,

$$(FF^* v)(x) = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{\frac{i}{h}(f(x, \theta) - f(\tilde{x}, \theta))} e^{-\frac{(T(x, \theta) + T(\tilde{x}, \theta))}{h}} a(x, \theta) \overline{a}(\tilde{x}, \theta) v(\tilde{x}) d\tilde{x} d\widehat{\theta}, \tag{4.4}$$

for all  $v \in \mathcal{S}(\mathbb{R}^n)$ . The main idea to show that  $FF^*$  is a  $h$ -pseudodifferential operator, is to use the fact that  $f(x, \theta) - f(\tilde{x}, \theta)$  can be expressed by the scalar product  $\langle x - \tilde{x}, \xi(x, \tilde{x}, \theta) \rangle$  after considering the change of variables

$$(x, \tilde{x}, \theta) \rightarrow (x, \tilde{x}, \xi = \xi(x, \tilde{x}, \theta)).$$

The distribution kernel of  $FF^*$  is

$$K(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(f(x, \theta) - f(\tilde{x}, \theta))} e^{-\frac{(T(x, \theta) + T(\tilde{x}, \theta))}{h}} a(x, \theta) \overline{a}(\tilde{x}, \theta) d\widehat{\theta}.$$

We obtain from (3.2) that if

$$|x - \tilde{x}| \geq \frac{\varepsilon}{2} \lambda(x, \tilde{x}, \theta) \quad (\text{where } \varepsilon > 0 \text{ is sufficiently small})$$

Then

$$|(\partial_\theta f)(x, \theta) - (\partial_\theta f)(\tilde{x}, \theta)| \geq \frac{\varepsilon}{2C_2} \lambda(x, \tilde{x}, \theta). \tag{4.5}$$

Choosing  $C^\infty(\mathbb{R})$  such that

$$\begin{cases} \omega(x) \geq 0, & \forall x \in \mathbb{R} \\ \omega(x) = 1 & \text{si } x \in [-\frac{1}{2}, \frac{1}{2}] \\ \text{supp } \omega \subset & ]-1, 1[ \end{cases}$$

and setting

$$\begin{cases} b(x, \tilde{x}, \theta) := e^{-\frac{(T(x, \theta) + T(\tilde{x}, \theta))}{h}} a(x, \theta) \overline{a}(\tilde{x}, \theta) = b_{1, \varepsilon}(x, \tilde{x}, \theta) + b_{2, \varepsilon}(x, \tilde{x}, \theta) \\ b_{1, \varepsilon}(x, \tilde{x}, \theta) = \omega\left(\frac{|x - \tilde{x}|}{\varepsilon \lambda(x, \tilde{x}, \theta)}\right) b(x, \tilde{x}, \theta) \\ b_{2, \varepsilon}(x, \tilde{x}, \theta) = \left[1 - \omega\left(\frac{|x - \tilde{x}|}{\varepsilon \lambda(x, \tilde{x}, \theta)}\right)\right] b(x, \tilde{x}, \theta). \end{cases}$$

We have

$$K(x, \tilde{x}) = K_{1,\varepsilon}(x, \tilde{x}) + K_{2,\varepsilon}(x, \tilde{x}),$$

where

$$K_{j,\varepsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(f(x,\theta) - f(\tilde{x},\theta))} b_{j,\varepsilon}(x, \tilde{x}, \theta) \widehat{d\theta}, \quad j = 1, 2.$$

We will study separately the kernels  $K_{1,\varepsilon}$  and  $K_{2,\varepsilon}$ .

The study of  $K_{2,\varepsilon}$ . We shall show that for all  $h$ , we have

$$K_{2,\varepsilon}(x, \tilde{x}) \in \mathcal{S}(\mathbb{R}^n \times \mathbb{R}^n).$$

Indeed, let

Then  $L$  is a linear partial differential operator  $L$  of order 1 such that

$$L \left( e^{\frac{i}{h}(f(x,\theta) - f(\tilde{x},\theta))} \right) = e^{\frac{i}{h}(f(x,\theta) - f(\tilde{x},\theta))},$$

$$\text{where } L = -ih \left| (\partial_{\theta} f)(x, \theta) - (\partial_{\theta} f)(\tilde{x}, \theta) \right|^{-2} \sum_{l=1}^n [(\partial_{\theta_l} f)(x, \theta) - (\partial_{\theta_l} f)(\tilde{x}, \theta)] \partial_{\theta_l}.$$

The transpose operator of  $L$  is

$${}^tL = \sum_{l=1}^n F_l(x, \tilde{x}, \theta) \partial_{\theta_l} + G(x, \tilde{x}, \theta)$$

where  $F_l(x, \tilde{x}, \theta) \in \Gamma_0^{-1}(\Omega_\varepsilon)$ ,  $G(x, \tilde{x}, \theta) \in \Gamma_0^{-2}(\Omega_\varepsilon)$ :

$$F_l(x, \tilde{x}, \theta) = ih \left| (\partial_{\theta} f)(x, \theta) - (\partial_{\theta} f)(\tilde{x}, \theta) \right|^{-2} ((\partial_{\theta_l} f)(x, \theta) - (\partial_{\theta_l} f)(\tilde{x}, \theta))$$

$$G(x, \tilde{x}, \theta) = ih \sum_{l=1}^n \partial_{\theta_l} \left[ \left| (\partial_{\theta} f)(x, \theta) - (\partial_{\theta} f)(\tilde{x}, \theta) \right|^{-2} ((\partial_{\theta_l} f)(x, \theta) - (\partial_{\theta_l} f)(\tilde{x}, \theta)) \right]$$

$$\Omega_\varepsilon = \left\{ (x, \tilde{x}, \theta) \in \mathbb{R}^{3n}; \left| \partial_{\theta} f(x, \theta) - \partial_{\theta} f(\tilde{x}, \theta) \right| > \frac{\varepsilon}{2C_2} \lambda(x, \tilde{x}, \theta) \right\}.$$

On the other hand we prove by induction on  $q$  that

$$({}^tL)^q b_{2,\varepsilon}(x, \tilde{x}, \theta) = \sum_{\substack{|\gamma| \leq q \\ \gamma \in \mathbb{N}^n}} g_\gamma^{(q)}(x, \tilde{x}, \theta) \partial_\theta^\gamma b_{2,\varepsilon}(x, \tilde{x}, \theta), \quad g_\gamma^{(q)} \in \Gamma_0^{-q}, \quad \forall q \in \mathbb{N},$$

and so,

$$K_{2,\varepsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(f(x,\theta) - f(\tilde{x},\theta))} ({}^tL)^q b_{2,\varepsilon}(x, \tilde{x}, \theta) \widehat{d\theta}$$

Using Leibnitz's formula, (G2) and the form  $({}^tL)^q$ , we can choose  $q$  large enough such that

$$\forall \alpha, \alpha', \beta, \beta' \in \mathbb{N}^n, \exists C_{\alpha, \alpha', \beta, \beta'} > 0; \sup_{x, \tilde{x} \in \mathbb{R}^n} \left| x^\alpha \tilde{x}^{\alpha'} \partial_x^\beta \partial_{\tilde{x}}^{\beta'} K_{2,\varepsilon}(x, \tilde{x}) \right| \leq C_{\alpha, \alpha', \beta, \beta'}.$$

Next, we study  $K_{1,\varepsilon}$ . This is more difficult and depends on the choice of the parameter  $\varepsilon$ . It follows from Taylor's formula that

$$f(x, \theta) - f(\tilde{x}, \theta) = \langle x - \tilde{x}, \xi(x, \tilde{x}, \theta) \rangle_{\mathbb{R}^n}$$

$$\xi(x, \tilde{x}, \theta) = \int_0^1 (\partial_x f)(\tilde{x} + t(x - \tilde{x}), \theta) dt.$$

We define the vectorial function:

$$\tilde{\xi}_\varepsilon(x, \tilde{x}, \theta) = \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \xi(x, \tilde{x}, \theta) + \left(1 - \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right)\right) (\partial_x f)(\tilde{x}, \theta).$$

We have

$$\tilde{\xi}_\varepsilon(x, \tilde{x}, \theta) = \xi(x, \tilde{x}, \theta) \text{ on } \text{supp}b_{1,\varepsilon},$$

Moreover, for  $\varepsilon$  sufficiently small,

$$\lambda(x, \theta) \simeq \lambda(\tilde{x}, \theta) \simeq \lambda(x, \tilde{x}, \theta) \text{ on } \text{supp}b_{1,\varepsilon}. \quad (4.6)$$

Let us consider the mapping

$$\mathbb{R}^{3n} \ni (x, \tilde{x}, \theta) \rightarrow (x, \tilde{x}, \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta)); \quad (4.7)$$

for which Jacobian matrix is

$$\begin{pmatrix} I_n & 0 & 0 \\ 0 & I_n & 0 \\ \partial_x \tilde{\xi}_\varepsilon & \partial_{\tilde{x}} \tilde{\xi}_\varepsilon & \partial_\theta \tilde{\xi}_\varepsilon \end{pmatrix}.$$

We have

$$\begin{aligned} \frac{\partial \tilde{\xi}_{\varepsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) &= \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) + \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \left(\frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta)\right) \\ &\quad - \frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)} \frac{\partial \lambda}{\partial \theta_i}(x, \tilde{x}, \theta) \lambda^{-1}(x, \tilde{x}, \theta) \\ &\quad \times \omega'\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \left(\xi_j(x, \tilde{x}, \theta) - \frac{\partial f}{\partial x_j}(\tilde{x}, \theta)\right). \end{aligned}$$

Thus, using that  $\text{supp } \omega' \subset \text{supp } \omega \subset ]-1, 1[$  and  $\frac{\partial \lambda}{\partial \theta_i}(x, \tilde{x}, \theta) \leq 1$ , we obtain

$$\begin{aligned} \left| \frac{\partial \tilde{\xi}_{\varepsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| &\leq \left| \omega\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \left| \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| \right. \\ &\quad \left. + \lambda^{-1}(x, \tilde{x}, \theta) \right. \\ &\quad \left. \times \left| \omega'\left(\frac{|x - \tilde{x}|}{2\varepsilon\lambda(x, \tilde{x}, \theta)}\right) \left| \xi_j(x, \tilde{x}, \theta) - \frac{\partial f}{\partial x_j}(\tilde{x}, \theta) \right| \right| \right|. \end{aligned}$$

Now it follows from (G2), (4.6) and Taylor's formula that

$$\begin{aligned} \left| \frac{\partial \xi_j}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| &\leq \int_0^1 \left| \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x} + t(x - \tilde{x}), \theta) - \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| dt \\ &\leq C_5 |x - \tilde{x}| \lambda^{-1}(x, \tilde{x}, \theta), \quad C_5 > 0 \end{aligned} \quad (4.8)$$

$$\begin{aligned} \left| \xi_j(x, \tilde{x}, \theta) - \frac{\partial f}{\partial x_j}(\tilde{x}, \theta) \right| &\leq \int_0^1 \left| \frac{\partial f}{\partial x_j}(\tilde{x} + t(x - \tilde{x}), \theta) - \frac{\partial f}{\partial x_j}(\tilde{x}, \theta) \right| dt \\ &\leq C_6 |x - \tilde{x}|, \quad C_6 > 0. \end{aligned} \quad (4.9)$$

From (4.8) and (4.9), there exists a positive constant  $C_7 > 0$ , such that

$$\left| \frac{\partial \tilde{\xi}_{\varepsilon,j}}{\partial \theta_i}(x, \tilde{x}, \theta) - \frac{\partial^2 f}{\partial \theta_i \partial x_j}(\tilde{x}, \theta) \right| \leq C_7 \varepsilon, \quad \forall i, j \in \{1, \dots, n\}. \quad (4.10)$$



If  $\varepsilon < \frac{\delta_0}{2\tilde{C}}$ , then (4.10) and (G4) yields the estimate

$$\delta_0/2 \leq -\tilde{C}\varepsilon + \delta_0 \leq -\tilde{C}\varepsilon + \det \frac{\partial^2 f}{\partial x \partial \theta}(x, \theta) \leq \det \partial_\theta \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta), \text{ with } \tilde{C} > 0. \quad (4.11)$$

If  $\varepsilon$  is such that (4.6) and (4.11) are true, then the mapping given in (4.7) is a global diffeomorphism of  $\mathbb{R}^{3n}$ . Hence there exists a mapping

$$\theta : \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}^n \ni (x, \tilde{x}, \xi) \rightarrow \theta(x, \tilde{x}, \xi) \in \mathbb{R}^n$$

such that

$$\begin{cases} \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) = & \xi \\ \theta(x, \tilde{x}, \tilde{\xi}_\varepsilon(x, \tilde{x}, \theta)) = & x \\ \partial^\alpha \theta(x, \tilde{x}, \xi) = \mathcal{O}(1), \quad \forall \alpha \in \mathbb{N}^{3n} \setminus \{0\}. \end{cases} \quad (4.12)$$

If we change the variable  $\xi$  by  $\theta(x, \tilde{x}, \xi)$  in  $K_{1,\varepsilon}(x, \tilde{x})$  we obtain

$$K_{1,\varepsilon}(x, \tilde{x}) = \int_{\mathbb{R}^n} e^{\frac{i}{h} \langle x - \tilde{x}, \xi \rangle} b_{1,\varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right| \widehat{d\xi} \quad (4.13)$$

From (4.12) we have, for  $k = 0, 1$ , that  $b_{1,\varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right|$  belongs to  $\Gamma_k^{2m}(\mathbb{R}^{3n})$  if  $a \in \Gamma_k^m(\mathbb{R}^{2n})$ .

Applying the stationary phase theorem (cf. [20,17]) to (4.13), we obtain the expression of the symbol of the  $h$ -pseudodifferential operator  $FF^*$ :

$$\sigma(FF^*) = b_{1,\varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) \left| \det \frac{\partial \theta}{\partial \xi}(x, \tilde{x}, \xi) \right|_{|\tilde{x}=x} + R(x, \xi),$$

where  $R(x, \xi) \in \Gamma_k^{2m-2}(\mathbb{R}^{2n})$  if  $a \in \Gamma_k^m(\mathbb{R}^{2n})$ ,  $k = 0, 1$ .

For  $\tilde{x} = x$ , we have

$$b_{1,\varepsilon}(x, \tilde{x}, \theta(x, \tilde{x}, \xi)) = e^{\frac{-2T(x,\theta)}{h}} |a(x, \theta(x, x, \xi))|^2,$$

where  $\theta(x, x, \xi)$  is the inverse of the mapping  $\theta \rightarrow \partial_x f(x, \theta) = \xi$ . Thus

$$\sigma(FF^*)(x, \partial_x f(x, \theta)) \equiv e^{\frac{-2T(x,\theta)}{h}} |a(x, \theta)|^2 \left| \det \frac{\partial^2 f}{\partial \theta \partial x}(x, \theta) \right|^{-1}.$$

By (4.2) and (4.3) we have:

$$\begin{aligned} (\mathcal{F}(F^*F)\mathcal{F}^{-1})v(\theta) &= \int_{\mathbb{R}^n} e^{-\frac{i}{h}f(x,\theta) - \frac{T(x,\theta)}{h}} \bar{a}(x, \theta) (F(\mathcal{F}^{-1}v))(x) dx \\ &= \int_{\mathbb{R}^n} e^{-\frac{i}{h}S(x,\theta) - \frac{T(x,\theta)}{h}} \bar{a}(x, \theta) \\ &\quad \times \left( \int_{\mathbb{R}^n} e^{\frac{i}{h}f(x,\tilde{\theta}) - \frac{T(x,\tilde{\theta})}{h}} a(x, \tilde{\theta}) (\mathcal{F}(\mathcal{F}^{-1}v))(\tilde{\theta}) \widehat{d\tilde{\theta}} dx \right. \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{-\frac{i}{h}(f(x,\theta) - f(x,\tilde{\theta}))} e^{-\left(\frac{T(x,\theta) + T(x,\tilde{\theta})}{h}\right)} \\ &\quad \left. \times \bar{a}(x, \theta) a(x, \tilde{\theta}) v(\tilde{\theta}) \widehat{d\tilde{\theta}} dx, \quad \forall v \in \mathcal{S}(\mathbb{R}^n). \right. \end{aligned}$$

The distribution kernel of the integral operator  $\mathcal{F}(F^*F)\mathcal{F}^{-1}$  is

$$\tilde{K}(\theta, \tilde{\theta}) = \int_{\mathbb{R}^n} e^{-\frac{i}{h}(f(x,\theta) - f(x,\tilde{\theta}))} e^{-\left(\frac{T(x,\theta) + T(x,\tilde{\theta})}{h}\right)} \bar{a}(x, \theta) a(x, \tilde{\theta}) \widehat{dx}.$$

Observe that we can deduce  $K(x, \tilde{x})$  from  $\tilde{K}(\theta, \tilde{\theta})$  by replacing  $x$  by  $\theta$ . On the other hand, all assumptions used here are symmetrical on  $x$  and  $\theta$  therefore  $\mathcal{F}(F^*F)\mathcal{F}^{-1}$  is a nice  $h$ -pseudodifferential operator with symbol

$$\sigma(\mathcal{F}(F^*F)\mathcal{F}^{-1})(\theta, -\partial_\theta f(x, \theta)) \equiv e^{-\frac{2T(x,\theta)}{h}} |a(x, \theta)|^2 \left| \det \frac{\partial^2 f}{\partial x \partial \theta}(x, \theta) \right|^{-1}.$$

Thus the symbol of  $F^*F$  is given by (cf. [14])

$$\sigma(F^*F)(\partial_\theta f(x, \theta), \theta) \equiv e^{-\frac{2T(x,\theta)}{h}} |a(x, \theta)|^2 \left| \det \frac{\partial^2 f}{\partial x \partial \theta}(x, \theta) \right|^{-1}.$$

□

**Corollary 4.2.** *Let  $F_h$  be the integral operator with the distribution kernel*

$$K(x, y) = \int_{\mathbb{R}^n} e^{\frac{i}{h}(f(x,\theta) + iT(x,\theta))} a(x, \theta) \widehat{d\theta}$$

where  $a \in \Gamma_0^m(\mathbb{R}_{x,\theta}^{2n})$  and  $S$  satisfies (G1), (G2), (G3) and (G4).

Then, we have:

- 1) If  $m \leq 0$ ,  $F_h$  can be extended to a bounded linear mapping on  $L^2(\mathbb{R}^n)$ .
- 2) If  $m < 0$ ,  $F_h$  can be extended to a compact operator on  $L^2(\mathbb{R}^n)$ .

*Proof.* It follows from Theorem 4.1 that  $F_h^*F_h$  is a  $h$ -pseudodifferential operator with symbol in  $\Gamma_0^{2m}(\mathbb{R}^{2n})$ .

1) If  $m \leq 0$ , the weight  $\lambda^{2m}(x, \theta)$  is bounded, so we can apply the Caldéron-Vaillancourt theorem (cf. [3,17,19]) for  $F_h^*F_h$  and obtain the existence of a positive constant  $\gamma(n)$  and a integer  $k(n)$  such that

$$\|(F_h^*F_h)u\|_{L^2(\mathbb{R}^n)} \leq \gamma(n) Q_{k(n)}(\sigma(F_h^*F_h)) \|u\|_{L^2(\mathbb{R}^n)}, \quad \forall u \in \mathcal{S}(\mathbb{R}^n),$$

where

$$Q_{k(n)}(\sigma(F_h^*F_h)) = \sum_{|\alpha|+|\beta| \leq k(n)} \sup_{(x,\theta) \in \mathbb{R}^{2n}} \left| \partial_x^\alpha \partial_\theta^\beta \sigma(F_h^*F_h)(\partial_\theta f(x, \theta), \theta) \right|.$$

Hence, we have for all  $u \in \mathcal{S}(\mathbb{R}^n)$

$$\|F_h u\|_{L^2(\mathbb{R}^n)} \leq \|F_h^*F_h\|_{\mathcal{L}(L^2(\mathbb{R}^n))}^{1/2} \|u\|_{L^2(\mathbb{R}^n)} \leq (\gamma(n) Q_{k(n)}(\sigma(F_h^*F_h)))^{1/2} \|u\|_{L^2(\mathbb{R}^n)}.$$

Thus  $F_h$  is also a bounded linear operator on  $L^2(\mathbb{R}^n)$ .

2) If  $m < 0$ ,  $\lim_{|x|+|\theta| \rightarrow +\infty} \lambda^m(x, \theta) = 0$ , and the compactness theorem (see. [17,19]) show that the operator  $F_h^*F_h$  can be extended to a compact operator on  $L^2(\mathbb{R}^n)$ . Thus, the Fourier integral operator  $F_h$  is compact on  $L^2(\mathbb{R}^n)$ . Indeed, let  $(\varphi_j)_{j \in \mathbb{N}}$  be an orthonormal basis of  $L^2(\mathbb{R}^n)$ , then

$$\left\| F_h^*F_h - \sum_{j=1}^n \langle \varphi_j, \cdot \rangle F_h^*F_h \varphi_j \right\| \xrightarrow{n \rightarrow +\infty} 0.$$

Since  $F_h$  is bounded, we have for all  $l \in L^2(\mathbb{R}^n)$

$$\left\| F_h l - \sum_{j=1}^n \langle \varphi_j, l \rangle F_h \varphi_j \right\|^2 \leq \left\| F_h^* F_h l - \sum_{j=1}^n \langle \varphi_j, l \rangle F_h^* F_h \varphi_j \right\| \left\| l - \sum_{j=1}^n \langle \varphi_j, l \rangle \varphi_j \right\|.$$

Hence

$$\left\| F_h - \sum_{j=1}^n \langle \varphi_j, \cdot \rangle F_h \varphi_j \right\| \xrightarrow{n \rightarrow +\infty} 0.$$

□

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