



## Three Nontrivial Solutions of Boundary Value Problems for Semilinear $\Delta_\gamma$ -Laplace Equation \*

Duong Trong Luyen and Le Thi Hong Hanh

ABSTRACT: In this paper, we study the multiplicity of weak solutions to the boundary value problem

$$\Delta_\gamma u + f(x, u) = 0 \quad \text{in } \Omega, \quad u = 0 \quad \text{on } \partial\Omega,$$

where  $\Omega$  is a bounded domain with smooth boundary in  $\mathbb{R}^N$  ( $N \geq 2$ ) and  $\Delta_\gamma$  is the subelliptic operator of the type

$$\Delta_\gamma := \sum_{j=1}^N \partial_{x_j} (\gamma_j^2 \partial_{x_j}), \quad \partial_{x_j} := \frac{\partial}{\partial x_j}, \quad \gamma = (\gamma_1, \gamma_2, \dots, \gamma_N),$$

the nonlinearity  $f(x, \xi)$  is subcritical growth and may be not satisfy the Ambrosetti-Rabinowitz (AR) condition. We establish the existence of three nontrivial solutions by using Morse theory.

Key Words: Semilinear degenerate elliptic equations, Morse theory, Three solutions, Multiple solutions.

### Contents

<b>1 Introduction</b>	<b>1</b>
<b>2 Preliminary results</b>	<b>2</b>
<b>3 Proof of the main result</b>	<b>5</b>

### 1. Introduction

In the last decades, the boundary value problem for semilinear elliptic equations

$$-\Delta u = f(x, u), \quad x \in \Omega, \quad u \in H_0^1(\Omega),$$

has been studied by many authors, see, for example [1,20] and the references therein. The following (AR) condition introduced in [1]

(AR) For some  $\theta > 2$  and  $R > 0$ , we have

$$\theta F(x, \xi) \leq f(x, \xi)\xi, \quad \forall |\xi| \geq R, \quad \forall x \in \Omega,$$

where  $F(x, \xi) = \int_0^\xi f(x, \tau) d\tau$ , plays an important role in their studies. Boundary value problems for nonlinear degenerate elliptic differential equations were treated in [10] and then subsequently in [8,5]. In [25,26], the critical exponent phenomenon was observed for a model of the Grushin type operators. The results were then generalized in [23] to a large class of semilinear degenerate elliptic differential equations. Recently, in [23,24] the second author of this paper and his collaborator have extended the research to a more complicated class of nonlinear degenerate elliptic differential operators. Very recently, the authors of [11] investigated the  $\Delta_\gamma$ -Laplace operator under the additional assumption that the operator is homogeneous of degree two with respect to a semigroup of dilations in  $\mathbb{R}^N$ . Many aspects of the theory of degenerate elliptic differential operators are presented in monographs [27,28] (see also some recent results in [2,3,11,12,13,14,15,16,17,18,19,22,24,26]).

---

\* This research is funded by Vietnam National Foundation for Science and Technology Development (NAFOSTED) under grant number 101.02-2017.21.

2010 *Mathematics Subject Classification*: Primary 35L80; Secondary 35L10, 35L71, 35L99.

Submitted December 13, 2018. Published April 05, 2019

In this paper, we study multiplicity of weak solutions to the following problem

$$\Delta_\gamma u + f(x, u) = 0 \quad \text{in } \Omega, \quad (1.1)$$

$$u = 0 \quad \text{on } \partial\Omega, \quad (1.2)$$

where  $\Omega$  is a bounded domain with smooth boundary in  $\mathbb{R}^N$ ,  $\Delta_\gamma$  (see the definition of this function space below) and  $f(x, \xi) : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  such that  $f(x, 0) = 0$ .

Let  $F(x, \xi) = \int_0^\xi f(x, \tau) d\tau$  and suppose that the non-linearity  $f$  satisfies the following conditions:

(A1)  $f \in C(\bar{\Omega} \times \mathbb{R}, \mathbb{R})$  with  $f(x, 0) = 0$  and satisfies the improved subcritical polynomial growth condition, i.e.

$$\lim_{\xi \rightarrow \infty} \frac{f(x, \xi)}{|\xi|^{2_\gamma^* - 1}} = 0 \quad \text{uniformly for } x \in \bar{\Omega},$$

where  $2_\gamma^* := 2\tilde{N}/(\tilde{N} - 2)$ ;

(A2)  $\lim_{|\xi| \rightarrow 0} \frac{f(x, \xi)}{\xi} = p(x)$ , uniformly for  $x \in \Omega$ , where  $p \in L^\infty(\Omega)$  satisfies  $p(x) \leq \lambda_1$  for all  $x \in \Omega$  and  $p(x) < \lambda_1$  on some  $\Omega_0 \subset \Omega_1$  with  $|\Omega_0| > 0$ , where  $\Omega_1 := \{x \in \Omega : \phi_1(x) \neq 0\}$  and  $\lambda_1 > 0$  that has an associated eigenfunction  $\phi_1$  is the first eigenvalue of  $-\Delta_\gamma$  with homogeneous Dirichlet boundary data;

(A3)  $f(x, \xi)$  is superlinear at infinity, i.e.  $\lim_{|\xi| \rightarrow +\infty} f(x, \xi)/\xi = +\infty$  uniformly for all  $x \in \Omega$ ;

(A4) There exist  $\theta \geq 1$  and  $C(x) \in L^1_+(\Omega)$  such that  $\theta \mathcal{F}(x, \xi) \geq \mathcal{F}(x, s\xi) - C(x)$  for  $(x, \xi) \in \Omega \times \mathbb{R}$  and  $s \in [0, 1]$ , where  $\mathcal{F}(x, \xi) = f(x, \xi) - 2F(x, \xi)$ .

The condition (A4) was first introduced by L. Jeanjean [7], there are many functions which satisfy (A4), but do not satisfy the (AR) condition. An example of such function is

$$f(x, \xi) = \xi \ln(1 + |\xi|).$$

Our main result is given by the following theorem.

**Theorem 1.1.** *Assume conditions (A1)-(A4) hold. Then the problem (1.1)-(1.2) has at least three nontrivial solutions.*

The structure of our note is as follows: In Section 2, we give some preliminary results. In Section 3, we proved Theorem 1.1.

## 2. Preliminary results

First of all, let us collect some concepts and results of Morse theory that will be used below. For the details, we refer to [4]. Let  $\mathbb{X}$  be a real Banach space and  $\Phi \in C^1(\mathbb{X}, \mathbb{R})$ .  $K = \{u \in \mathbb{X} | \Phi'(u) = 0\}$  is the critical set of  $\Phi$ . Let  $u \in K$  be an isolated critical point of  $\Phi$  with  $\Phi(u) = c \in \mathbb{R}$ , and  $U$  be an isolated neighborhood of  $u$ , i.e.  $K \cap U = \{u\}$ . The group

$$C_m(\Phi, u) = H_m(\Phi^c \cap U, \Phi^c \cap U \setminus \{u\}), \quad m = 0, 1, 2, \dots,$$

is called the  $m$ -th critical group of  $\Phi$  at  $u$ , where  $\Phi^c = \{u \in \mathbb{X} | \Phi(u) \leq c\}$ .

$H_m(\cdot, \cdot)$  is the singular relative homology group of  $\Phi$  at infinity is defined by

$$C_m(\Phi, \infty) = H_m(\mathbb{X}, \Phi^a), \quad m = 0, 1, 2, \dots$$

We denote

$$P(u, t) = \sum_i \text{rank } C_i(\Phi, u)t^i, \quad P(\infty, t) = \sum_i \text{rank } C_i(\Phi, \infty)t^i.$$

Let  $\alpha < \beta$  be the regular values of  $\Phi$  and set

$$P(\alpha, \beta, t) = \sum_i \text{rank } C_i(\Phi, \infty) t^i.$$

If  $K = \{u_1, u_2, \dots, u_k\}$ , then there is a polynomial  $Q(t)$  with nonnegative integer as its coefficients such that

$$\sum_j P(u_j, t) = P(\infty, t) + (1+t)Q(t), \quad (2.1)$$

$$\sum_{\alpha < \Phi(u_j) < \beta} P(u_j, t) = P(\alpha, \beta, t) + (1+t)Q(t). \quad (2.2)$$

Throughout the paper  $\Omega$  denotes a bounded domain with smooth boundary in  $\mathbb{R}^N$ ,  $N \geq 2$ . As in [11], we consider the operators of the form

$$\Delta_\gamma := \sum_{j=1}^N \partial_{x_j} (\gamma_j^2 \partial_{x_j}), \quad \partial_{x_j} := \frac{\partial}{\partial x_j}, \quad j = 1, 2, \dots, N.$$

Here, the functions  $\gamma_j : \mathbb{R}^N \rightarrow \mathbb{R}$  are assumed to be continuous, different from zero and of class  $C^1$  in  $\mathbb{R}^N \setminus \Pi$ , where

$$\Pi := \left\{ x = (x_1, x_2, \dots, x_N) \in \mathbb{R}^N : \prod_{j=1}^N x_j = 0 \right\}.$$

Moreover, we assume the following properties:

i) There exists a semigroup of dilations  $\{\delta_t\}_{t>0}$  such that

$$\delta_t : \mathbb{R}^N \rightarrow \mathbb{R}^N, \quad \delta_t(x_1, \dots, x_N) = (t^{\varepsilon_1} x_1, \dots, t^{\varepsilon_N} x_N), \quad 1 = \varepsilon_1 \leq \varepsilon_2 \leq \dots \leq \varepsilon_N,$$

such that  $\gamma_j$  is  $\delta_t$ -homogeneous of degree  $\varepsilon_j - 1$ , i.e.,

$$\gamma_j(\delta_t(x)) = t^{\varepsilon_j - 1} \gamma_j(x), \quad \forall x \in \mathbb{R}^N, \forall t > 0, \quad j = 1, \dots, N.$$

The number

$$\tilde{N} := \sum_{j=1}^N \varepsilon_j$$

is called the homogeneous dimension of  $\mathbb{R}^N$  with respect to  $\{\delta_t\}_{t>0}$ .

ii)

$$\gamma_1 = 1, \quad \gamma_j(x) = \gamma_j(x_1, x_2, \dots, x_{j-1}), \quad j = 2, \dots, N.$$

iii) There exists a constant  $\rho \geq 0$  such that

$$0 \leq x_k \partial_{x_k} \gamma_j(x) \leq \rho \gamma_j(x), \quad \forall k \in \{1, 2, \dots, j-1\}, \quad \forall j = 2, \dots, N,$$

and for every  $x \in \overline{\mathbb{R}}_+^N := \{(x_1, \dots, x_N) \in \mathbb{R}^N : x_j \geq 0, \forall j = 1, 2, \dots, N\}$ .

iv) Equalities  $\gamma_j(x) = \gamma_j(x^*)$  ( $j = 1, 2, \dots, N$ ) are satisfied for every  $x \in \mathbb{R}^N$ , where

$$x^* = (|x_1|, \dots, |x_N|) \quad \text{if } x = (x_1, x_2, \dots, x_N).$$

**Definition 2.1.** By  $S_\gamma^p(\Omega)$  ( $1 \leq p < +\infty$ ) we will denote the set of all functions  $u \in L^p(\Omega)$  such that  $\gamma_j \partial_{x_j} u \in L^p(\Omega)$  for all  $j = 1, \dots, N$ . We define the norm in this space as follows

$$\|u\|_{S_\gamma^p(\Omega)} = \left\{ \int_\Omega \left( |u|^p + \sum_{j=1}^N |\gamma_j \partial_{x_j} u|^p \right) dx \right\}^{\frac{1}{p}}.$$

If  $p = 2$  we can also define the scalar product in  $S_\gamma^2(\Omega)$  as follows

$$(u, v)_{S_\gamma^2(\Omega)} = (u, v)_{L^2(\Omega)} + \sum_{j=1}^N (\gamma_j \partial_{x_j} u, \gamma_j \partial_{x_j} v)_{L^2(\Omega)}.$$

The space  $S_{\gamma,0}^p(\Omega)$  is defined as the closure of  $C_0^1(\Omega)$  in the space  $S_\gamma^p(\Omega)$ .

Set

$$\nabla_\gamma u := (\gamma_1 \partial_{x_1} u, \gamma_2 \partial_{x_2} u, \dots, \gamma_N \partial_{x_N} u), |\nabla_\gamma u| := \left( \sum_{j=1}^N |\gamma_j \partial_{x_j} u|^2 \right)^{\frac{1}{2}}.$$

From Proposition 3.2 and Theorem 3.3 in [11], we have the following embedding result.

**Proposition 2.1.** *Assume that  $\tilde{N} > 2$ . Then  $S_{\gamma,0}^2(\Omega) \hookrightarrow L^p(\Omega)$ , where  $1 \leq p \leq \frac{2\tilde{N}}{\tilde{N}-2}$ . Moreover, the number  $2_\gamma^* = \frac{2\tilde{N}}{\tilde{N}-2}$  is the critical Sobolev exponent of the embedding  $S_{\gamma,0}^2(\Omega) \hookrightarrow L^p(\Omega)$  and when  $1 \leq p < 2_\gamma^*$ , the embedding is compact.*

We now give some examples of the  $\Delta_\gamma$ -Laplace operator. We use the following notations: we split  $\mathbb{R}^N$  into

$$\mathbb{R}^N = \mathbb{R}^{N_1} \times \mathbb{R}^{N_2} \times \mathbb{R}^{N_3},$$

and write

$$x = (x^{(1)}, x^{(2)}, x^{(3)}), \quad x^{(i)} = (x_1^{(i)}, x_2^{(i)}, \dots, x_{N_i}^{(i)}) \in \mathbb{R}^{N_i},$$

$$|x^{(i)}|^2 = \sum_{j=1}^{N_i} |x_j^{(i)}|^2, \quad i = 1, 2, 3.$$

We denote the classical Laplace operator in  $\mathbb{R}^{N_i}$  by

$$\Delta_{x^{(i)}} := \sum_{j=1}^{N_i} \partial_{x_j^{(i)}}^2.$$

**Example 2.2.** *Let  $\alpha$  be a real positive number. The operator*

$$\Delta_\gamma := \Delta_{x^{(1)}} + |x^{(1)}|^{2\alpha} (\Delta_{x^{(2)}} + \Delta_{x^{(3)}}),$$

where

$$\gamma = \underbrace{(1, 1, \dots, 1)}_{N_1\text{-times}}, \underbrace{|x^{(1)}|^\alpha, \dots, |x^{(1)}|^\alpha}_{(N_2+N_3)\text{-times}},$$

is called the Grushin operator (see [6]).

**Example 2.3.** *Let  $\alpha, \beta$  be nonnegative real numbers. The operator*

$$\Delta_\gamma := \Delta_{x^{(1)}} + \Delta_{x^{(2)}} + |x^{(1)}|^{2\alpha} |x^{(2)}|^{2\beta} \Delta_{x^{(3)}},$$

where

$$\gamma = \left( \underbrace{1, 1, \dots, 1}_{(N_1+N_2)\text{-times}}, \underbrace{|x^{(1)}|^\alpha |x^{(2)}|^\beta, \dots, |x^{(1)}|^\alpha |x^{(2)}|^\beta}_{N_3\text{-times}} \right),$$

is called the strongly degenerate elliptic operators (see [24, 28]).

**Definition 2.4.** A function  $u \in S_{\gamma,0}^2(\Omega)$  is called a weak solution of the problem (1.1)–(1.2) if the identity

$$\int_{\Omega} \nabla_{\gamma} u \cdot \nabla_{\gamma} \varphi \, dx - \int_{\Omega} f(x, u) \varphi \, dx = 0,$$

holds for every  $\varphi \in C_0^{\infty}(\Omega)$ .

**Definition 2.5.** Let  $\mathbb{X}$  be a real Banach space with its dual space  $\mathbb{X}^*$  and  $\Phi \in C^1(\mathbb{X}, \mathbb{R})$ . The functional  $\Phi$  is said to satisfy Cerami condition at level  $c \in \mathbb{R}$  ( $(C)_c$  condition for short) if for any sequence  $\{x_m\}_{m=1}^{\infty} \subset \mathbb{X}$  with

$$\Phi(x_m) \rightarrow c \text{ and } (1 + \|x_m\|_{\mathbb{X}}) \|\Phi'(x_m)\|_{\mathbb{X}^*} \rightarrow 0,$$

then there exists a subsequence  $\{x_{m_k}\}_{k=1}^{\infty}$  that converges strongly in  $\mathbb{X}$ .  $\Phi$  satisfies the  $(C)$  condition if  $\Phi$  satisfies  $(C)_c$  condition at every  $c \in \mathbb{R}$ .

### 3. Proof of the main result

First, we observe that the problem (1.1)–(1.2) has a variational structure. Indeed it is the Euler-Lagrange equation of the functional  $\Phi : S_{\gamma,0}^2(\Omega) \rightarrow \mathbb{R}$  defined as follows:

$$\Phi(u) = \frac{1}{2} \int_{\Omega} |\nabla_{\gamma} u|^2 \, dx - \int_{\Omega} F(x, u) \, dx,$$

By the hypotheses on  $f$ , we can see that the functional  $\Phi$  is Frechét differentiable in  $S_{\gamma,0}^2(\Omega)$  and for any  $\varphi \in S_{\gamma,0}^2(\Omega)$ ,

$$\langle \Phi'(u), \varphi \rangle = \int_{\Omega} \nabla_{\gamma} u \cdot \nabla_{\gamma} \varphi \, dx - \int_{\Omega} f(x, u) \varphi \, dx.$$

Thus, critical points of  $\Phi$  are solutions of problem (1.1)–(1.2).

Let

$$f_{\pm}(x, \xi) = \begin{cases} f(x, \xi), & \xi > 0, \\ 0, & \xi \leq 0; \end{cases}$$

$$\Phi_{\pm}(u) = \frac{1}{2} \int_{\Omega} |\nabla_{\gamma} u|^2 \, dx - \int_{\Omega} F_{\pm}(x, u) \, dx,$$

where  $F_{\pm}(x, \xi) = \int_0^{\xi} f_{\pm}(x, \tau) \, d\tau$ . Now, we prove the following compactness condition for  $\Phi$  and  $\Phi_{\pm}$ .

**Lemma 3.1.** Let (A1)–(A4) be satisfied. Then the functionals  $\Phi$  and  $\Phi_{\pm}$  satisfies the  $(C)$  condition on  $S_{\gamma,0}^2(\Omega)$ .

*Proof.* We only give the proof for  $\Phi_{+}$ , the cases of  $\Phi$  and  $\Phi_{-}$  are similar. Let  $\{u_n\}_{n=1}^{\infty} \subset S_{\gamma,0}^2(\Omega)$  be a sequence such that

$$\Phi_{+}(u_n) \rightarrow c, \quad \left(1 + \|u_n\|_{S_{\gamma,0}^2(\Omega)}\right) \|\Phi'_{+}(u_n)\|_{(S_{\gamma,0}^2(\Omega))^*} \rightarrow 0, \quad \text{as } n \rightarrow \infty. \quad (3.1)$$

The proof of this lemma, we divide two steps:

**Step 1.** We first prove that  $\{u_n\}_{n=1}^{\infty}$  is bounded in  $S_{\gamma,0}^2(\Omega)$ . Let  $u_n^{+} = \max\{u_n, 0\}$ ,  $u_n^{-} = \min\{u_n, 0\}$ . From (3.1), we obtain

$$|\langle \Phi'_{+}(u_n), \varphi \rangle| \leq \epsilon_n \|\varphi\|_{S_{\gamma,0}^2(\Omega)} \quad \text{for any } \varphi \in S_{\gamma,0}^2(\Omega), \quad (3.2)$$

where  $\epsilon_n \rightarrow 0$  as  $n \rightarrow \infty$ , then the boundedness of  $u_n^{-}$  can be directly obtained. For the case of  $u_n^{+}$ , by contradiction, we assume that  $\|u_n^{+}\|_{S_{\gamma,0}^2(\Omega)} \rightarrow \infty$  as  $n \rightarrow \infty$ . Let  $v_n = \|u_n^{+}\|_{S_{\gamma,0}^2(\Omega)}^{-1} u_n^{+}$ , then

$\|v_n\|_{S_{\gamma,0}^2(\Omega)} = 1$ . By Proposition 2.1, up to a subsequence, we have

$$\begin{aligned} v_n &\rightharpoonup v \quad \text{weakly in } S_{\gamma,0}^2(\Omega) \text{ as } n \rightarrow \infty, \\ v_n &\rightarrow v \quad \text{strongly in } L^q(\Omega) \text{ as } n \rightarrow \infty, \\ v_n &\rightarrow v \quad \text{a.e. in } \Omega \text{ as } n \rightarrow \infty. \end{aligned}$$

**Case 1.** If  $v \neq 0$  then the Lebesgue measure of  $\Omega_0 = \{x \in \Omega : v(x) \neq 0\}$  is positive. Using (3.1), we obtain

$$\langle \Phi'_+(u_n), u_n^+ \rangle = o(1),$$

which implies that

$$\int_{\Omega} \frac{f_+(x, u_n^+)u_n^+}{\|u_n^+\|_{S_{\gamma,0}^2(\Omega)}^2} dx = \int_{\Omega} \frac{f_+(x, u_n^+)u_n^+}{|u_n^+|^2} |v_n|^2 dx = 1 + o(1). \quad (3.3)$$

By (A3), there is a constant  $M > 0$  such that

$$f_+(x, u_n^+)u_n^+ > 0, \quad \text{as } |u_n| > M,$$

then we have

$$\int_{\Omega \setminus \Omega_0} \frac{f_+(x, u_n^+)u_n^+}{(u_n^+)^2} |v_n|^2 dx \geq -C. \quad (3.4)$$

On the other hand, for  $x \in \Omega_0$ ,  $u_n^+ \rightarrow \infty$  as  $n \rightarrow \infty$ . Then by the Fatou's lemma and (A3) we have

$$\int_{\Omega_0} \frac{f_+(x, u_n^+)u_n^+}{(u_n^+)^2} |v_n|^2 dx \rightarrow \infty, \quad \text{as } n \rightarrow \infty.$$

Combining this with (3.4) gives

$$\int_{\Omega} \frac{f_+(x, u_n^+)u_n^+}{(u_n^+)^2} |v_n|^2 dx \rightarrow \infty, \quad \text{as } n \rightarrow \infty. \quad (3.5)$$

This contradicts (3.3). Then this case is impossible.

**Case 2.** If  $v \equiv 0$  then for any  $n \in \mathbb{N}$  there exists  $t_n \in [0, 1]$  such that

$$\Phi_+(t_n u_n^+) = \max_{t \in [0,1]} \Phi_+(t u_n^+).$$

For any  $R > 0$ , we assume that  $w_n = 2\sqrt{R}v_n$ . Then  $w_n \rightarrow 0$  in  $L^q(\mathbb{R}^N)$ . So from conditions (A1) and (A2), for every  $\epsilon > 0$ , we can find a constant  $C(\epsilon) > 0$  such that

$$F(x, w_n) \leq C(\epsilon)(w_n)^2 + \epsilon(w_n)^{2^*}, \quad (3.6)$$

which implies

$$\lim_{n \rightarrow \infty} \int_{\Omega} F_+(x, w_n) dx = 0. \quad (3.7)$$

Since  $2\sqrt{R}\|u_n^+\|_{S_{\gamma,0}^2(\Omega)}^{-1} \in (0, 1)$  for  $n$  large enough, by (3.7) we obtain

$$\Phi_+(t_n u_n^+) \geq \Phi_+(w_n) = 2R - \int_{\Omega} F_+(x, w_n) dx \geq R,$$

which implies

$$\Phi_+(t_n u_n^+) \rightarrow \infty, \quad \text{as } n \rightarrow \infty. \quad (3.8)$$

From  $\Phi_+(0) = 0$  and  $\Phi_+(u_n^+) \rightarrow c$  we have  $t_n \in (0, 1)$ , then

$$\langle \Phi'_+(t_n u_n^+), t_n u_n^+ \rangle = t_n \frac{d}{dt} \Big|_{t=t_n} \Phi_+(t u_n) = 0.$$

Then, from (A4) it follows that

$$\begin{aligned} \frac{1}{\theta} \Phi_+(t_n u_n^+) &= \frac{1}{\theta} \left( \Phi_+(t_n u_n^+) - \frac{1}{2} \langle \Phi'_+(t_n u_n^+), t_n u_n^+ \rangle \right) \\ &= \frac{1}{2\theta} \int_{\Omega} \mathcal{F}(x, t_n u_n^+) dx \\ &\leq \frac{1}{2} \int_{\Omega} \mathcal{F}(x, u_n^+) dx + \frac{1}{2\theta} \int_{\Omega} C(x) dx \\ &= \Phi_+(u_n^+) - \frac{1}{2} \langle \Phi'_+(u_n^+), u_n^+ \rangle + c \rightarrow C. \end{aligned}$$

This contradicts that  $\Phi_+(t_n u_n^+) \rightarrow \infty$ . Hence  $\{u_n\}_{n=1}^{\infty}$  is bounded; that is, there exists a positive constant  $M$  such that

$$\|u_n\|_{S_{\gamma,0}^2(\Omega)} \leq M, \quad \text{for all } n \in \mathbb{N}.$$

**Step 2.** We prove  $\{u_n\}_{n=1}^{\infty}$  has a convergent subsequence. In fact, we can suppose that

$$\begin{aligned} u_n &\rightharpoonup u \quad \text{weakly in } S_{\gamma,0}^2(\Omega) \text{ as } n \rightarrow \infty, \\ u_n &\rightarrow u \quad \text{strongly in } L^q(\Omega) \text{ as } n \rightarrow \infty, \\ u_n &\rightarrow u \quad \text{a.e. in } \Omega \text{ as } n \rightarrow \infty. \end{aligned}$$

Now, since  $\Omega$  is a bounded set, for every  $\epsilon > 0$ , we can find a constant  $C(\epsilon) > 0$  such that

$$f_+(x, s) \leq C(\epsilon) + \epsilon |s|^{2^*_{\gamma}-1}, \quad \forall (x, s) \in \Omega \times \mathbb{R},$$

then

$$\begin{aligned} &\left| \int_{\Omega} f_+(x, u_n)(u_n - u) dx \right| \\ &\leq C(\epsilon) \int_{\Omega} |u_n - u| dx + \epsilon \int_{\Omega} |u_n - u| |u_n|^{2^*_{\gamma}-1} dx \\ &\leq C(\epsilon) \int_{\Omega} |u_n - u| dx + \epsilon \left( \int_{\Omega} (|u_n|^{2^*_{\gamma}-1})^{\frac{2^*_{\gamma}}{2^*_{\gamma}-1}} dx \right)^{\frac{2^*_{\gamma}-1}{2^*_{\gamma}}} \left( \int_{\Omega} |u_n - u|^{2^*_{\gamma}} dx \right)^{1/2^*_{\gamma}} \\ &\leq C(\epsilon) \int_{\Omega} |u_n - u| dx + \epsilon C(\Omega). \end{aligned}$$

Similarly, since  $u_n \rightharpoonup u$  in  $S_{\gamma,0}^2(\Omega)$ , it follows that  $\int_{\Omega} |u_n - u| dx \rightarrow 0$ . Since  $\epsilon > 0$  is arbitrary, we can conclude that

$$\int_{\Omega} (f_+(x, u_n) - f_+(x, u))(u_n - u) dx \rightarrow 0 \quad \text{as } n \rightarrow \infty. \quad (3.9)$$

By (3.9), we have

$$\langle \Phi'_+(u_n) - \Phi'_+(u), (u_n - u) \rangle \rightarrow 0 \quad \text{as } n \rightarrow \infty. \quad (3.10)$$

From (3.9) and (3.10), we obtain  $\|u_n\|_{S_{\gamma,0}^2(\Omega)} \rightarrow \|u\|_{S_{\gamma,0}^2(\Omega)}$ , as  $n \rightarrow \infty$ . Thus we have

$$\|u_n - u\|_{S_{\gamma,0}^2(\Omega)} \rightarrow 0, \quad \text{as } n \rightarrow \infty,$$

which means that  $\Phi_+$  satisfies condition (C).  $\square$

**Lemma 3.2.** *Assume that conditions (A1), (A3), (A4) hold. Then we have*

$$C_m(\Phi, \infty) = C_m(\Phi_{\pm}, \infty) = \{0\}, \quad m = 0, 1, 2, \dots$$

*Proof.* We only give the proof of  $\Phi_+$ ; the others are similar. Let  $S = \{u \in S_{\gamma,0}^2(\Omega) : \|u\|_{S_{\gamma,0}^2(\Omega)} = 1, u^+ \neq 0\}$  and  $B^\infty = \{u \in S_{\gamma,0}^2(\Omega) : \|u\|_{S_{\gamma,0}^2(\Omega)} \leq 1\}$ . By (A3), for any  $M > 0$  there exists  $c > 0$ , such that  $F(x, t) \geq Mt^2 - c$ , for  $(x, t) \in \Omega \times \mathbb{R}$ , which implies  $\Phi_+(tu) \rightarrow -\infty$ , as  $t \rightarrow +\infty$ , for any  $u \in S$ . Using (A4), we have

$$f_+(x, t)t - 2F_+(x, t) \geq -\frac{C(x)}{\theta}, \quad \text{for } (x, t) \in \Omega \times \mathbb{R}. \quad (3.11)$$

Choose

$$a < \min \left\{ \inf_{u \in B^\infty} \Phi_+(u), -\frac{C_*}{2\theta} \right\},$$

where  $C_* = \int_{\Omega} C(x)dx$ . Then for any  $u \in S$ , there exists  $t > 1$  such that  $\Phi_+(tu) \leq a$ , that is

$$\Phi_+(tu) = \frac{t^2}{2} - \int_{\Omega} F_+(x, tu)dx \leq a,$$

which (3.11) implies

$$\frac{d}{dt}\Phi_+(tu) = t - \int_{\Omega} f_+(x, tu)u \leq \frac{1}{t}(2a + \frac{C_*}{\theta}) < 0.$$

Therefore, by the implicit function theorem, there exists a unique  $T \in C(S, \mathbb{R})$  such that

$$\Phi_+(T(u)u) = a, \quad \text{for } u \in S.$$

Let  $S_1 = \{u \in S_{\gamma,0}^2(\Omega) : \|u\|_{S_{\gamma,0}^2(\Omega)} \geq 1, u^+ \neq 0\}$ . We construct a strong deformation retract  $\tau : [0, 1] \times S_1 \rightarrow S_1$  which satisfies  $\tau(s, u) = (1-s)u + sT\left(\frac{u}{\|u\|_{S_{\gamma,0}^2(\Omega)}}\right)\frac{u}{\|u\|_{S_{\gamma,0}^2(\Omega)}}$  if  $\Phi_+(u) \geq a$  and  $\tau(s, u) = u$  if  $\Phi_+(u) < a$ . Hence, It follows from the construction of  $\tau$  that  $\Phi_+^a$  is a strong deformation retract of  $S_1$ , which is homotopy equivalent to the set  $S$ . By the homotopy invariance of homology group, we have

$$\begin{aligned} C_m(\Phi_+, \infty) &= H_m(S_{\gamma,0}^2(\Omega), \Phi_+^a) \\ &\cong H_m(S_{\gamma,0}^2(\Omega), S) \\ &\cong H_m(S_{\gamma,0}^2(\Omega), S_{\gamma,0}^2(\Omega) \setminus \{0\}) \\ &= 0. \end{aligned}$$

□

**Proof of Theorem 1.1.** By Lemma 3.1, we know that  $\Phi$  and  $\Phi_{\pm}$  satisfy the (C) condition. By conditions (A1) and (A2), we can easily prove that 0 is a local minimum of  $\Phi$  and  $\Phi_{\pm}$ . So, we have

$$C_m(\Phi, 0) = C_m(\Phi_{\pm}, 0) = \delta_{m,0}G. \quad (3.12)$$

Using the mountain pass theorem in [21], we obtain  $\Phi_+$  ( $\Phi_-$ ) has a critical point  $u_+ > 0$  ( $u_- < 0$ ), and  $u_{\pm}$  are also the nontrivial critical points of the functional  $\Phi$ . Without loss of generality, we assume that  $u_{\pm}$  are isolated and the only nontrivial critical points of the functional  $\Phi$ . Now we claim that

$$C_m(\Phi_{\pm}, u_{\pm}) = \delta_{m,1}G. \quad (3.13)$$

Indeed, using the methods of [9], we let  $\Phi_+(u_+) = c > 0$ . It follows from the homology exact sequence of the triple  $\Phi_+^A \subset \Phi_+^{\frac{c}{2}} \subset S_{\gamma,0}^2(\Omega)$ , we have

$$\begin{aligned} \dots \rightarrow H_m(S_{\gamma,0}^2(\Omega), \Phi_+^A) &\rightarrow H_m(S_{\gamma,0}^2(\Omega), \Phi_+^{\frac{c}{2}}) \rightarrow H_{m-1}(\Phi_+^{\frac{c}{2}}, \Phi_+^A) \rightarrow \\ &\rightarrow H_{m-1}(S_{\gamma,0}^2(\Omega), \Phi_+^A) \rightarrow \dots, \end{aligned} \quad (3.14)$$



where  $A < 0$  is a constant. Since 0 is the only critical point of  $\Phi_+$  in the set  $\Phi_+^{\frac{c}{2}}$ , by (3.12), we obtain

$$H_m(\Phi_+^{\frac{c}{2}}, \Phi_+^A) = C_m(\Phi_+, 0) = \delta_{m,0}G. \quad (3.15)$$

Similarly, since  $u_+$  is the only critical point of  $\Phi_+$  in the set  $\{u \in S_{\gamma,0}^2(\Omega) | \Phi_+(u) \geq \frac{c}{2}\}$ , we have

$$H_m(S_{\gamma,0}^2(\Omega), \Phi_+^{\frac{c}{2}}) = C_m(\Phi_+, u_1), \quad m = 0, 1, 2, \dots \quad (3.16)$$

From Lemma 3.2, we have

$$H_m(S_{\gamma,0}^2(\Omega), \Phi_+^A) = C_m(\Phi_+, \infty) = 0, \quad m = 0, 1, 2, \dots \quad (3.17)$$

From (3.14) to (3.17), we deduce that

$$C_m(\Phi_+, u_+) = C_{m-1}(\Phi_+, 0) = \delta_{m,1}G.$$

The case for  $u_-$  is similar, that is

$$C_m(\Phi_-, u_-) = C_{m-1}(\Phi_-, 0) = \delta_{m,1}G.$$

Hence

$$C_m(\Phi, u_{\pm}) = \delta_{m,1}G.$$

The Morse equality (2.1) with  $t = -1$  implies that

$$(-1)^0 + (-1)^1 + (-1)^1 = 0,$$

which is a contradiction. Then the problem (1.1)–(1.2) has at least three nontrivial solutions.

### References

1. A. Ambrosetti and P. H. Rabinowitz, *Dual variational methods in critical point theory and applications*, J. Funct. Anal. **14** (1973), 349–381.
2. C. T. Anh and B. K. My, *Existence of solutions to  $\Delta_\lambda$ -Laplace equations without the Ambrosetti – Rabinowitz condition*, Complex Var. Elliptic Equ. **61**(2016), no. 1, 137–150.
3. C. T. Anh and B. K. My, *Liouville – type theorems for elliptic inequalities involving the  $\Delta_\lambda$ -Laplace operator*, Complex Var. Elliptic Equ. **61**(2016), no. 7, 1002–1013.
4. K. C. Chang; *Infinite-dimensional Morse theory and multiple solution problems*, Progress in Nonlinear Differential Equations and their Applications, 6. Birkhäuser Boston, Inc., Boston, MA, 1993. x+312 pp.
5. N. Garofalo and E. Lanconelli, *Existence and nonexistence results for semilinear equations on the Heisenberg group*, Indiana Univ. Math. J. **41**(1992), no. 1, 71–98.
6. V. V. Grushin, *A certain class of hypoelliptic operators*, Mat. Sb. (N.S.) **83** (1970), no. 125, 456–473 [in Russian].
7. L. Jeanjean, *On the existence of bounded Palais-Smale sequences and application to a Landesman-Lazer-type problem set on  $\mathbb{R}^n$* , Proc. Roy. Soc. Edinburgh Sect. A **129**(1999), no. 4, 787–809.
8. D. S. Jerison and J. M. Lee, *The Yamabe problem on CR manifolds*, J. Differential Geom. **25**(1987),no. 2, 167–197.
9. M. Y. Jiang, *Critical groups and multiple solutions of the  $p$ -Laplacian equations*, Nonlinear Anal., 59 (2004), 1221–1241.
10. D. Jerison, *The Dirichlet problem for the Kohn Laplacian on the Heisenberg group*, II, J. Funct. Anal. **43**(1981), no. 2, 224–257.
11. A. E. Kogoj and E. Lanconelli, *On semilinear  $\Delta_\lambda$ -Laplace equation*, Nonlinear Analysis. **75** (2012), no. 12, 4637–4649.
12. A. E. Kogoj and E. Lanconelli, *Linear and semilinear problems involving  $\Delta_\lambda$ -Laplacians*, Electron. J. Differential Equations 2018, no. 25, 12 pp.
13. D. T. Luyen, D. T. Huong and L. T. H. Hanh, *Existence of infinitely many solutions for  $\Delta_\gamma$ -Laplace problems* Math. Notes **103** (2018), no. 5, 724–736.
14. D. T. Luyen, *Two nontrivial solutions of boundary value problems for semilinear  $\Delta_\gamma$  differential equations*, Math. Notes **101** (2017), no. 5, 815–823.
15. D. T. Luyen and N. M. Tri, *Existence of solutions to boundary value problems for semilinear  $\Delta_\gamma$  differential equations*, Math. Notes **97** (2015), no. 1, 73–84.

16. D. T. Luyen and N. M. Tri, *Large-time behavior of solutions to damped hyperbolic equation involving strongly degenerate elliptic differential operators*, Siberian Math. J. **57** (2016), no. 4, 632–649.
17. D. T. Luyen and N. M. Tri, *Global attractor of the Cauchy problem for a semilinear degenerate damped hyperbolic equation involving the Grushin operator*, Ann. Pol. Math. **117** (2016), no. 2, 141–162.
18. D. T. Luyen and N. M. Tri, *Existence of infinitely many solutions for semilinear degenerate Schrödinger equations*, J. Math. Anal. Appl. **461** (2018), no. 2, 1271–1286.
19. D. T. Luyen and N. M. Tri, *On the existence of multiple solutions to boundary value problems for semilinear elliptic degenerate operators*. Complex Var. Elliptic Equ. **64** (2019), no. 6, 1050–1066.
20. S. I. Pohožaev, *On the eigenfunctions of the equation  $\Delta u + \lambda f(u) = 0$* . (Russian) Dokl. Akad. Nauk SSSR, **165**, (1965), 36–39.
21. P. H. Rabinowitz, *Minimax methods in critical point theory with applications to differential equations*, CBMS Regional Conference Series in Mathematics, 65. Published for the Conference Board of the Mathematical Sciences, Washington, DC; by the American Mathematical Society, Providence, RI, 1986. viii+100 pp.
22. B. Rahal and M. K. Hamdani, *Infinitely many solutions for  $\Delta_\alpha$ -Laplace equations with sign-changing potential*, J. Fixed Point Theory Appl. **20** (2018), no. 4, 20:137.
23. N. T. C. Thuy and N. M. Tri, *Some existence and nonexistence results for boundary value problems for semilinear elliptic degenerate operators*, Russ. J. Math. Phys. **9**(2002), no. 3, 365–370.
24. P. T. Thuy and N. M. Tri, *Nontrivial solutions to boundary value problems for semilinear strongly degenerate elliptic differential equations*, NoDEA Nonlinear Differential Equations Appl. **19** (2012), no. 3, 279–298.
25. N. M. Tri, *On the Grushin equation*, Mat. Zametki **63**(1998), no. 1, 95–105.
26. N. M. Tri, *Critical Sobolev exponent for hypoelliptic operators*, Acta Math. Vietnam. **23** (1998), no. 1, 83–94.
27. N. M. Tri, *Semilinear Degenerate Elliptic Differential Equations, Local and global theories* (Lambert Academic Publishing, 2010).
28. N. M. Tri, *Recent Progress in the Theory of Semilinear Equations Involving Degenerate Elliptic Differential Operators* (Publishing House for Science and Technology of the Vietnam Academy of Science and Technology, 2014).

*Duong Trong Luyen,*  
*Division of Computational Mathematics and Engineering,*  
*Institute for Computational Science,*  
*Ton Duc Thang University,*  
*Ho Chi Minh City,*  
*Vietnam.*  
*Faculty of Mathematics and Statistics,*  
*Ton Duc Thang University,*  
*Ho Chi Minh City,*  
*Vietnam.*  
*E-mail address: duongtrongluyen@tdtu.edu.vn*

and

*Le Thi Hong Hanh,*  
*Department of Mathematics,*  
*Hoa Lu University,*  
*Ninh Nhat,*  
*Ninh Binh city, Vietnam.*  
*E-mail address: lthhanh@hluv.edu.vn*