



## Algebraic Extension of $\mathcal{A}_n^*$ Operator

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ABSTRACT:  $T \in L(H_1 \oplus H_2)$  is said to be an algebraic extension of a  $\mathcal{A}_n^*$  operator if

$$T = \begin{pmatrix} T_1 & T_2 \\ O & T_3 \end{pmatrix}$$

is an operator matrix on  $H_1 \oplus H_2$ , where  $T_1$  is a  $\mathcal{A}_n^*$  operator and  $T_3$  is algebraic.

In this paper, we study basic and spectral properties of an algebraic extension of a  $\mathcal{A}_n^*$  operator. We show that every algebraic extension of a  $\mathcal{A}_n^*$  operator has SVEP, is polaroid and satisfies Weyl's theorem.

Key Words: Algebraic extension  $\mathcal{A}_n^*$  operator, SVEP, polaroid, Weyl's theorem.

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### 1. Introduction

Throughout this paper, let  $H$  and  $K$  be infinite dimensional complex Hilbert spaces with inner product  $\langle \cdot, \cdot \rangle$ . We denote by  $L(H, K)$  the set of all bounded operators from  $H$  into  $K$ . To simplify, we put  $L(H) := L(H, H)$ . For  $T \in L(H)$ , we denote by  $\ker(T)$  the null space and by  $T(H)$  the range of  $T$ . The null operator and the identity on  $H$  will be denoted by  $O$  and  $I$ , respectively. If  $T$  is an operator, then  $T^*$  is its adjoint, and  $\|T\| = \|T^*\|$ . We shall denote the set of all complex numbers by  $\mathbb{C}$ , the set of all non-negative integers by  $\mathbb{N}$  and the complex conjugate of a complex number  $\mu$  by  $\bar{\mu}$ . The closure of a set  $M$  will be denoted by  $\bar{M}$  and we shall henceforth shorten  $T - \mu I$  to  $T - \mu$ . An operator  $T \in L(H)$ , is a positive operator,  $T \geq O$ , if  $\langle Tx, x \rangle \geq 0$  for all  $x \in H$ . We write  $r(T) = \lim_{n \rightarrow \infty} \|T^n\|^{\frac{1}{n}}$  for the spectral radius. It is well known that  $r(T) \leq \|T\|$ , for every  $T \in L(H)$ . The operator  $T$  is called a normaloid operator if  $r(T) = \|T\|$ .

Let  $Hol(\sigma(T))$  be the space of all analytic functions in an open neighborhood of  $\sigma(T)$ . We say that  $T \in L(H)$  has the single valued extension property at  $\lambda_0 \in \mathbb{C}$ , (SVEP for short), if for every open neighborhood  $U$  of  $\lambda_0$  the only analytic function  $f : U \rightarrow \mathbb{C}$  which satisfies equation  $(T - \lambda)f(\lambda) = 0$  for all  $\lambda \in U$ , is the constant function  $f \equiv 0$ . An operator  $T \in L(H)$  has SVEP at every point of the resolvent  $\rho(T) = \mathbb{C} \setminus \sigma(T)$ , so  $T$  has SVEP if  $T$  has SVEP at every  $\lambda \in \sigma(T)$ . Every operator  $T$  has SVEP at an isolated point of the spectrum.

$T \in L(H)$  is said to be analytic if there exists a non-constant analytic function  $f$  on a neighborhood of  $\sigma(T)$  such that  $f(T) = O$ . We say that  $T \in L(H)$  is algebraic if there is a non-constant polynomial  $p$  such that  $p(T) = O$ .

An operator  $T$  is algebraic if and only if  $\sigma(T)$  is a finite set consisting of the poles of the resolvent of  $T$  (i.e., if and only if  $\sigma(T)$  is finite set and  $T$  is polaroid), [4, Theorem 3.83]. If  $T$  is an algebraic operator, then  $T$  has SVEP.

If an operator  $T \in L(H)$  is analytic, then  $f(T) = O$  for some non-constant analytic function  $f$  on a neighborhood  $D$  of  $\sigma(T)$ . Since  $f$  cannot have infinitely many zeros in  $D$ , we write  $f(z) = G(z)p(z)$ ,

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where  $G$  is an analytic function which does not vanish on  $D$  and  $p$  is a non-constant polynomial with zeros in  $D$ . By Riesz functional calculus,  $G(T)$  is invertible and then  $p(T) = O$ , which means that  $T$  is algebraic, [5, Lemma 3.2].

An operator  $T \in L(H)$  is said to be isoloid if every isolated point of  $\sigma(T)$  is an eigenvalue of  $T$ , while an operator  $T \in L(H)$  is said to be polaroid if every isolated point of  $\sigma(T)$  is a pole of the resolvent of  $T$ . In general, if  $T$  is polaroid, then  $T$  is isoloid. However, the converse is not true.

For an operator  $T \in L(H)$ , as usual,  $|T| = (T^*T)^{\frac{1}{2}}$ .

**Definition 1.1.** An operator  $T \in L(H)$ , is said to belong to  $k$ -quasi class  $\mathcal{A}_n^*$  operator if

$$T^{*k} \left( |T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2 \right) T^k \geq O,$$

for non-negative integers  $n$  and  $k$ .

If  $k = 0$  then 0-quasi class  $\mathcal{A}_n^*$  operators coincides with class  $\mathcal{A}_n^*$  operators:

$$|T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2 \geq O.$$

If  $n = 1$  and  $k = 1$  then 1-quasi class  $\mathcal{A}_1^*$  operators coincides with  $\Omega(\mathcal{A}^*)$  operators:

$$T^*(|T^2| - |T^*|^2)T \geq O.$$

**Lemma 1.2.** [7, Hansen Inequality] If  $A, B \in L(H)$ , satisfying  $A \geq O$  and  $\|B\| \leq 1$ , then

$$(B^*AB)^\delta \geq B^*A^\delta B \text{ for all } \delta \in (0, 1].$$

## 2. Algebraic extension of a $\mathcal{A}_n^*$ operator

**Definition 2.1.** For a positive integer  $n$ ,  $T$  is  $f$ -quasi class  $\mathcal{A}_n^*$  operators, if

$$f(T)^* \left( |T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2 \right) f(T) \geq O,$$

for some non-constant analytic function  $f$  on some neighborhood of  $\sigma(T)$ .

If  $f$  is some non-constant polynomial  $p$ , then  $T$  is  $p$ -quasi class  $\mathcal{A}_n^*$  operator. If  $p(z) = z^k$ , then  $T$  is  $k$ -quasi class  $\mathcal{A}_n^*$  operator.

**Definition 2.2.**  $T \in L(H_1 \oplus H_2)$  is said to be an algebraic extension of a  $\mathcal{A}_n^*$  operator if

$$T = \begin{pmatrix} T_1 & T_2 \\ O & T_3 \end{pmatrix}$$

is an operator matrix on  $H_1 \oplus H_2$ , where  $T_1$  is a  $\mathcal{A}_n^*$  operator and  $T_3$  is algebraic.

**Theorem 2.3.** Let  $T \in L(H)$  be an  $f$ -quasi class  $\mathcal{A}_n^*$  operator and  $M$  be an invariant subspace for  $T$ . Then the restriction  $T|_M$  is a  $p$ -quasi class  $\mathcal{A}_n^*$  operator.

*Proof.* Let  $T \in L(H)$  be an  $f$ -quasi class  $\mathcal{A}_n^*$  operator. There exists non-constant analytic functions  $f$  on a neighborhood of  $\sigma(T)$  such that

$$f(T)^* \left( |T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2 \right) f(T) \geq O.$$

Since  $M$  is a  $T$ -invariant subspace, we can write

$$T = \begin{pmatrix} T_1 & T_2 \\ O & T_3 \end{pmatrix} \text{ on } H = M \oplus M^\perp,$$

where  $T_1 = T|_M$ .

Since  $(T^{*(n+1)}T^{n+1})^{\frac{1}{n+1}} \geq O$ , from [6] we can set

$$|T^{n+1}|^{\frac{2}{n+1}} = (T^{*(n+1)}T^{n+1})^{\frac{1}{n+1}} = \begin{pmatrix} B & C \\ C^* & D \end{pmatrix},$$

where  $B \geq O$ ,  $D \geq O$  and  $C = B^{\frac{1}{2}}SD^{\frac{1}{2}}$  for some contraction  $S : M^{\perp} \rightarrow M$ . Then

$$|T^{n+1}|^2 = \begin{pmatrix} B & C \\ C^* & D \end{pmatrix}^{n+1} = \begin{pmatrix} B^{n+1} + Z & * \\ * & * \end{pmatrix},$$

where  $Z \geq O$ .

Since

$$|T^{n+1}|^2 = \begin{pmatrix} T_1^{*(n+1)}T_1^{n+1} & * \\ * & ** \end{pmatrix} \quad (2.1)$$

then  $T_1^{*(n+1)}T_1^{n+1} = B^{n+1} + Z \geq B^{n+1}$ . Therefore,

$$|T_1^{n+1}|^{\frac{2}{n+1}} = (T_1^{*(n+1)}T_1^{n+1})^{\frac{1}{n+1}} \geq B. \quad (2.2)$$

Also, since  $|T^*|^2 = TT^* = \begin{pmatrix} T_1T_1^* + T_2T_2^* & * \\ * & * \end{pmatrix}$  we have

$$\begin{aligned} O \leq f(T)^* \left( |T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2 \right) f(T) &= f(T)^* \begin{pmatrix} B - T_1T_1^* - T_2T_2^* & * \\ * & * \end{pmatrix} f(T) = \\ G(T)^* \begin{pmatrix} p(T_1)^*(B - T_1T_1^* - T_2T_2^*)p(T_1) & * \\ * & * \end{pmatrix} G(T), \end{aligned}$$

by Riesz functional calculus.

Since  $G(T)$  is invertible, from [6] we have

$$p(T_1)^*(B - T_1T_1^*)p(T_1) \geq O.$$

Then, from relations (2.2) we have

$$p(T_1)^*(|T_1^{n+1}|^{\frac{2}{n+1}} - T_1T_1^*)p(T_1) \geq p(T_1)^*(B - T_1T_1^*)p(T_1) \geq O.$$

So  $T_1$  is a  $p$ -quasi class  $\mathcal{A}_n^*$  operator.  $\square$

**Theorem 2.4.** *If  $T$  is a  $f$ -quasi class  $\mathcal{A}_n^*$  operator and  $f(T)$  does not have a dense range, then*

$$T = \begin{pmatrix} T_1 & T_2 \\ O & T_3 \end{pmatrix} \text{ on } H = \overline{f(T)(H)} \oplus \ker((f(T))^*),$$

$$(T_1^{*(n+1)}T_1^{n+1})^{\frac{1}{n+1}} - T_1T_1^* \geq T_2T_2^*, \quad T_3 \text{ is algebraic and } \sigma(T) = \sigma(T_1) \cup \sigma(T_3).$$

*Proof.* Let  $Q$  be the orthogonal projection onto  $\overline{f(T)(H)}$ . Since  $T$  is  $f$ -quasi class  $\mathcal{A}_n^*$  then

$$Q(|T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2)Q \geq O.$$

Hence, by Hansen inequality we have

$$\begin{aligned} (T_1^{*(n+1)}T_1^{n+1})^{\frac{1}{n+1}} &= (QT^{*(n+1)}T^{n+1}Q)^{\frac{1}{n+1}} \geq Q(T^{*(n+1)}T^{n+1})^{\frac{1}{n+1}}Q \\ &\geq Q|T^*|^2Q = T_1T_1^* + T_2T_2^*. \end{aligned}$$

On the other hand, for any  $x = (x_1, x_2) \in H$  we have

$$\langle f(T_3)x_2, x_2 \rangle = \langle f(T)(I - Q)x, (I - Q)x \rangle = \langle (I - Q)x, f(T)^*x \rangle = 0,$$

therefore,  $T_3$  is an algebraic operator.

Since  $\sigma(T_3)$  is a finite set,  $\sigma(T_1) \cap \sigma(T_3)$  is also finite, which implies  $\sigma(T_1) \cap \sigma(T_3)$  has no interior points. By [10, Corollary 8],  $\sigma(T) = \sigma(T_1) \cup \sigma(T_3)$ .  $\square$

**Proposition 2.5.** *Let  $T$  be  $f$ -quasi class  $\mathcal{A}_n^*$  operator. If  $T|_{\overline{f(T)(H)}}$  is a normal operator, then  $\overline{f(T)(H)}$  reduces  $T$ .*

*Proof.* We may assume  $T$  is a  $f$ -quasi class  $\mathcal{A}_n^*$  operator and  $\overline{f(T)(H)}$  is not dense. Then

$$T = \begin{pmatrix} T_1 & T_2 \\ O & T_3 \end{pmatrix} \text{ on } H = \overline{f(T)(H)} \oplus \ker((f(T))^*),$$

where  $T_1 = T|_{\overline{f(T)(H)}}$  is a normal operator. Let  $Q$  be the orthogonal projection onto  $\overline{f(T)(H)}$ . Since  $T$  is  $f$ -quasi class  $\mathcal{A}_n^*$  then

$$Q \left( |T^{n+1}|^{\frac{2}{n+1}} - |T^*|^2 \right) Q \geq O.$$

By Hansen inequality, relations (2.1) and the normality of  $T_1$  we have

$$\begin{aligned} & \begin{pmatrix} T_1 T_1^* & T_2 T_2^* \\ O & O \end{pmatrix} \\ &= Q |T^*|^2 Q \leq Q |T^{n+1}|^{\frac{2}{n+1}} Q \leq (Q |T^{n+1}|^2 Q)^{\frac{1}{n+1}} \\ &= \begin{pmatrix} |T_1^{n+1}|^2 & O \\ O & O \end{pmatrix}^{\frac{2}{n+1}} = \begin{pmatrix} T_1 T_1^* & O \\ O & O \end{pmatrix} \end{aligned}$$

So  $T_2 = O$  and  $\overline{f(T)(H)}$  is reduced of  $T$ . □

**Theorem 2.6.** *If  $T \in L(H, K)$  is an algebraic extension class  $\mathcal{A}_n^*$  operator, then  $T$  has SVEP.*

*Proof.* Suppose that  $T \in L(H, K)$  is algebraic extension class  $\mathcal{A}_n^*$ . Then  $T = \begin{pmatrix} T_1 & T_2 \\ O & T_3 \end{pmatrix}$  where  $T_1$  is class  $\mathcal{A}_n^*$  operator and  $T_3$  is algebraic.

Assume  $(T - z)f(z) = 0$  and put  $f(z) = f_1(z) \oplus f_2(z)$  on  $H \oplus K$ . Then

$$\begin{pmatrix} T_1 - z & T_2 \\ O & T_3 - z \end{pmatrix} \begin{pmatrix} f_1(z) \\ f_2(z) \end{pmatrix} = \begin{pmatrix} (T_1 - z)f_1(z) + T_2 f_2(z) \\ (T_3 - z)f_2(z) \end{pmatrix} = 0.$$

Since  $T_3$  is algebraic then  $T_3$  has SVEP, so  $f_2(z) = 0$ . We have  $(T_1 - z)f_1(z) = 0$  and since  $T_1$  is class  $\mathcal{A}_n^*$  then  $T_1$  have SVEP, [9, Corollary 3.9]. Therefore  $f_1(z) = 0$  and  $f(z) = 0$ . Consequently,  $T$  has SVEP. □

For  $T \in L(H)$ , the smallest nonnegative integer  $p$  such that  $\ker(T^p) = \ker(T^{p+1})$  is called the ascent of  $T$  and is denoted by  $p(T)$ . If no such integer exists, we set  $p(T) = \infty$ . We say that  $T \in L(H)$  is of finite ascent (finitely ascensive) if  $p(T) < \infty$ . For  $T \in L(H)$ , the smallest nonnegative integer  $q$ , such that  $T^q(H) = T^{q+1}(H)$ , is called the descent of  $T$  and is denoted by  $q(T)$ . If no such integer exists, we set  $q(T) = \infty$ . We say that  $T \in L(H)$  is of finite descent if  $q(T - \lambda) < \infty$ , for all  $\lambda \in \mathbb{C}$ . Moreover,  $0 < p(T - \lambda) = q(T - \lambda) < \infty$  precisely when  $\lambda$  is a pole of the resolvent of  $T$ ; see [8, Proposition 50.2].

**Lemma 2.7.** *If  $T \in L(H, K)$  is an algebraic extension class  $\mathcal{A}_n^*$  operator, then  $T$  is polaroid.*

*Proof.* Let be  $\lambda \in \text{iso}\sigma(T)$ . Then  $\lambda \in \sigma(T_1) \cup \sigma(T_3)$ , so  $\lambda \in \sigma(T_1)$  or  $\lambda \in \sigma(T_3)$ .

If  $\lambda \in \sigma(T_3)$ , then  $\lambda \in \text{iso}\sigma(T_3)$ . Since  $T_3$  is polaroid, then  $\lambda$  is pole of resolvente  $T_3$ , consequently

$$0 < p(T_3 - \lambda) = q(T_3 - \lambda) < \infty. \quad (2.3)$$

If  $\lambda \in \sigma(T_1)$  and  $\lambda \notin \sigma(T_3)$ , then  $\lambda \in \text{iso}\sigma(T_1)$ . From [9, Lemma 2.8],  $T$  is polaroid and

$$0 < p(T_1 - \lambda) = q(T_1 - \lambda) < \infty. \quad (2.4)$$

From inequalities  $p(T - \lambda) \leq p(T_1 - \lambda) + p(T_3 - \lambda)$ ,  $q(T - \lambda) \leq q(T_1 - \lambda) + q(T_3 - \lambda)$  and relations (2.3) and (2.4) we have  $0 < p(T - \lambda) = q(T - \lambda) < \infty$ . Consequently  $\lambda$  is a pole of the resolvente of  $T$ . □

**Corollary 2.8.** *If  $T \in L(H, K)$  is an algebraic extension class  $\mathcal{A}_n^*$  operator, then  $T$  is isoloid.*

*Proof.* From above Lemma. □

### 3. Weyl's theorem

We write  $\alpha(T) = \dim \ker(T)$ ,  $\beta(T) = \dim(H/T(H))$ . An operator  $T \in L(H)$  is called an upper semi-Fredholm, if it has a closed range and  $\alpha(T) < \infty$ , while  $T$  is called a lower semi-Fredholm if  $\beta(T) < \infty$ . However,  $T$  is called a semi-Fredholm operator if  $T$  is either an upper or a lower semi-Fredholm, and  $T$  is said to be a Fredholm operator if it is both an upper and a lower semi-Fredholm. If  $T \in L(H)$  is semi-Fredholm, then the index is defined by

$$\text{ind}(T) = \alpha(T) - \beta(T).$$

An operator  $T \in L(H)$  is said to be upper semi-Weyl operator if it is upper semi-Fredholm and  $\text{ind}(T) \leq 0$ , while  $T \in L(H)$  is said to be lower semi-Weyl operator if it is lower semi-Fredholm and  $\text{ind}(T) \geq 0$ . An operator is said to be Weyl operator if it is Fredholm of index zero. The Weyl spectrum and the essential approximate spectrum are defined by

$$\sigma_w(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Weyl}\}$$

and

$$\sigma_{uw}(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not upper semi-Weyl}\}.$$

An operator  $T \in L(H)$  is said to be upper semi-Browder operator, if it is upper semi-Fredholm and  $p(T) < \infty$ . An operator  $T \in L(H)$  is said to be lower semi-Browder operator, if it is lower semi-Fredholm and  $q(T) < \infty$ . An operator  $T \in L(H)$  is said to be Browder operator, if it is Fredholm of finite ascent and descent. The Browder spectrum and the upper semi-Browder spectrum are defined by

$$\sigma_b(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Browder}\}$$

and

$$\sigma_{ub}(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not upper semi-Browder}\}.$$

For  $T \in L(H)$  we will denote  $p_{00}(T)$  the set of all poles of finite rank of  $T$ . We have  $\sigma(T) \setminus \sigma_b(T) = p_{00}(T)$  and we say that  $T$  satisfies Browder's theorem if

$$\sigma_w(T) = \sigma_b(T) \text{ or } \sigma(T) \setminus \sigma_w(T) = p_{00}(T).$$

For  $T \in L(H)$  we write  $\pi_{00}(T) = \{\lambda \in \text{iso}\sigma(T) : 0 < \alpha(T - \lambda) < \infty\}$  for the isolated eigenvalues of finite multiplicity. We say that  $T$  satisfies Weyl's theorem, if

$$\sigma(T) \setminus \sigma_w(T) = \pi_{00}(T).$$

Let  $\pi_{00}^a(T) = \{\lambda \in \text{iso}\sigma_a(T) : 0 < \alpha(T - \lambda) < \infty\}$  be the set of all eigenvalues of  $T$  of finite multiplicity, which are isolated in the approximate point spectrum. We say that  $T$  satisfies  $a$ -Weyl's theorem, if

$$\sigma_a(T) \setminus \sigma_{uw}(T) = \pi_{00}^a(T).$$

We will denote  $p_{00}^a(T)$  the set of all left poles of finite rank of  $T$ . We have

$$\sigma_a(T) \setminus \sigma_{ub}(T) = p_{00}^a(T)$$

and we say that  $T$  satisfies  $a$ -Browder's theorem, if

$$\sigma_{uw}(T) = \sigma_{ub}(T) \text{ or } \sigma_a(T) \setminus \sigma_{uw}(T) = p_{00}^a(T).$$

**Lemma 3.1.** *If  $T$  is algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ , then  $\sigma_w(f(T)) = f(\sigma_w(T))$  for all  $f \in \text{Hol}(\sigma(T))$ .*

*Proof.* The inclusion  $f(\sigma_w(T)) \subseteq \sigma_w(f(T))$  holds for any operator. Since  $T$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ , operator,  $T$  has SVEP, then from [1, Theorem 4.19] holds  $\sigma_w(f(T)) \subseteq f(\sigma_w(T))$ .  $\square$

**Theorem 3.2.** *If  $T$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ , then Weyl's theorem holds for  $f(T)$  for every  $f \in \text{Hol}(\sigma(T))$ .*

*Proof.* Suppose  $T$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ . From Lemma 2.7 we have  $T$  is polaroid. Since  $T$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ , therefore  $T$  has SVEP by Theorem 2.6. Then, from [2, Theorem 3.3],  $T$  satisfies Weyl's theorem.

Since  $T$  is isoloid from [11] we have

$$f(\sigma(T) \setminus \pi_{00}(T)) = \sigma(f(T)) \setminus \pi_{00}(f(T)).$$

Then, by Lemma 3.1 we have

$$\sigma(f(T)) \setminus \pi_{00}(f(T)) = f(\sigma(T) \setminus \pi_{00}(T)) = f(\sigma_w(T)) = \sigma_w(f(T)),$$

which implies that Weyl's theorem holds for  $f(T)$ .  $\square$

#### 4. Property $(\omega)$

In this section we will show under which conditions, that algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$  operator  $T$ , satisfies property  $(\omega)$ .

**Definition 4.1.** [3] *A bounded linear operator  $T \in L(H)$  is said to satisfy property  $(\omega)$ , if*

$$\sigma_a(T) \setminus \sigma_{uw}(T) = \pi_{00}(T).$$

Examples of operators satisfying Weyl's theorem but not property  $(\omega)$  may be found in [3]. Property  $(\omega)$  is independent from a-Weyl's theorem: in [3] there are examples of operators  $T \in L(H)$  satisfying property  $(\omega)$  but not  $a$ -Weyl's theorem and vice versa.

Example 5.2 given in [12], shows that  $a$ -Weyl's theorem and Weyl's theorem does not imply property  $(\omega)$ .

**Lemma 4.2.** [3] *Let us suppose that  $T \in L(H)$ , then*

1. *If  $T^*$  has the SVEP, then  $\sigma_{uw}(T) = \sigma_b(T)$ .*
2. *If  $T$  has SVEP, then  $\sigma_{uw}(T^*) = \sigma_b(T)$ .*

Techniques of the proof of the following Theorem are similar to that of Theorem 5.4, given in [12].

**Theorem 4.3.** *Let  $T \in L(H)$ .*

1. *If  $T^*$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ , then property  $(\omega)$  holds for  $T$ .*
2. *If  $T$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$ , then property  $(\omega)$  holds for  $T^*$ .*

*Proof.* (i) Since  $T^*$  is an algebraic extension  $k$ -quasi class  $\mathcal{A}_n^*$  operator, then  $T^*$  has the SVEP and  $T$  is a polaroid operator by Theorem 2.7 because  $T$  is polaroid if and only if  $T^*$  is polaroid. Consequently  $\sigma(T) = \sigma_a(T)$ .

Consider two cases:

Case I: If  $\text{iso}\sigma(T) = \emptyset$ , then  $\pi_{00}(T) = \emptyset$ . We show that  $\sigma_a(T) \setminus \sigma_{uw}(T)$  is empty. By Lemma 4.2 we have  $\sigma_a(T) \setminus \sigma_{uw}(T) = \sigma(T) \setminus \sigma_b(T)$  and the last set is empty, since  $\sigma(T)$  has no isolated points. Therefore,  $T$  satisfies property  $(\omega)$ .

Case II: If  $\text{iso}\sigma(T) \neq \emptyset$ . Suppose that  $\lambda \in \pi_{00}(T)$ . Then  $\lambda$  is isolated in  $\sigma(T)$  and hence, by the polaroid condition,  $\lambda$  is a pole of the resolvent of  $T$ , i.e.  $p(T - \lambda) = q(T - \lambda) < \infty$ . By assumption

$\alpha(T - \lambda) < \infty$ , so by [4, Theorem 3.4]  $\beta(T - \lambda) < \infty$ , and hence  $T - \lambda$  is a Browder operator. Therefore, by Lemma 4.2,

$$\lambda \in \sigma(T) \setminus \sigma_b(T) = \sigma_a(T) \setminus \sigma_{uw}(T).$$

Conversely, if  $\lambda \in \sigma_a(T) \setminus \sigma_{uw}(T) = \sigma(T) \setminus \sigma_b(T)$  then  $\lambda$  is an isolated point of  $\sigma(T)$ . Clearly,  $0 < \alpha(T - \lambda) < \infty$ , so  $\lambda \in \pi_{00}(T)$  and hence  $T$  satisfies property  $(\omega)$ .

(ii) First note that since  $T$  has SVEP then

$$\sigma_a(T^*) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not onto}\} = \sigma(T) = \sigma(T^*).$$

Suppose first that  $\text{iso}\sigma(T) = \text{iso}\sigma(T^*) = \emptyset$ . Then  $\pi_{00}(T^*) = \emptyset$ . By Lemma 4.2 we have  $\sigma_a(T^*) \setminus \sigma_{uw}(T) = \sigma(T) \setminus \sigma_b(T) = \emptyset$ , so  $T^*$  satisfies property  $(\omega)$ .

Suppose that  $\text{iso}\sigma(T) \neq \emptyset$  and let  $\lambda \in \pi_{00}(T^*)$ . Then  $\lambda$  is isolated in  $\sigma(T) = \sigma(T^*)$ , hence a pole of the resolvent of  $T^*$ , since  $T^*$  is a polaroid operator by Theorem 2.7. By assumption  $\alpha(T^* - \lambda) < \infty$  and since the ascent and the descent of  $T^* - \bar{\lambda}$  are both finite it then follows by [4, Theorem 3.4] that  $\alpha(T^* - \bar{\lambda}) = \beta(T^* - \bar{\lambda}) < \infty$ , so  $T^* - \bar{\lambda}$  is a Browder operator and hence also  $T - \lambda$  is a Browder operator. Therefore,  $\lambda \in \sigma(T) \setminus \sigma_b(T)$  and by Lemma 4.2 it then follows that  $\lambda \in \sigma_a(T^*) \setminus \sigma_{uw}(T^*)$ . Conversely, if  $\lambda \in \sigma_a(T^*) \setminus \sigma_{uw}(T^*) = \sigma(T) \setminus \sigma_b(T)$ , then  $\lambda$  is an isolated point of the spectrum of  $\sigma(T) = \sigma(T^*)$ . Hence  $T - \lambda$  is a Browder operator, or equivalently  $T^* - \bar{\lambda}$  is a Browder operator. Since  $\alpha(T^* - \bar{\lambda}) = \beta(T^* - \bar{\lambda})$  we then have  $\alpha(T^* - \bar{\lambda}) > 0$  (otherwise  $\lambda \notin \sigma(T^*)$ ). Clearly,  $\alpha(T^* - \bar{\lambda}) < \infty$ , since by assumption  $T^* - \bar{\lambda}$  is a semi-upper Weyl operator, so that  $\lambda \in \pi_{00}(T^*)$ . Thus  $T^*$  satisfies property  $(\omega)$ .  $\square$

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