

(3s.) **v. 35** 2 (2017): 209–221. ISSN-00378712 in press doi:10.5269/bspm.v35i2.29290

# Spectrum and fine spectrum of the Zweier matrix over the sequence space cs

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ABSTRACT: In this article we have determined the spectrum and fine spectrum of the Zweier matrix  $Z_s$  on the sequence space cs. In a further development, we have also determined the approximate point spectrum, the defect spectrum and the compression spectrum of the operator  $Z_s$  on the sequence space cs.

Key Words: Spectrum of an operator; matrix mapping; sequence space.

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# 1. Introduction

By w, we denote the space of all real or complex valued sequences. Throughout the paper c,  $c_0$ , bv, cs, bs,  $\ell_1$ ,  $\ell_{\infty}$  represent the spaces of all convergent, null, bounded variation, convergent series, bounded series, absolutely summable and bounded sequences respectively. Also  $bv_0$  denotes the sequence space  $bv \cap c_0$ .

Fine spectra of various matrix operators on different sequence spaces have been examined by several authors. Fine spectrum of the operator  $\Delta_{a,b}$  on the sequence space c was determined by Akhmedov and El-Shabrawy [1]. The fine spectra of the Cesàro operator  $C_1$  over the sequence space  $bv_p$ ,  $(1 \le p < \infty)$  was determined by Akhmedov and Başar [2]. Altay and Başar [3,4] determined the fine spectrum of the difference operator  $\Delta$  and the generalized difference operator B(r, s) on the sequence spaces  $c_0$  and c. The spectrum and fine spectrum of the Zweier Matrix on the sequence spaces  $\ell_1$  and bv were studied by Altay and Karakuş [5]. Altun [6,7] determined the fine spectra of triangular Toeplitz operators and tridiagonal symmetric matrices over some sequence spaces. Furkan, Bilgiç and Kayaduman [14] have determined the fine spectrum of the generalized difference operator B(r, s)over the sequence spaces  $\ell_1$  and bv. Fine spectra of operator B(r, s, t) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s, t) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s) over the sequence spaces  $\ell_1$  and bv. Fine spectra of Derator B(r, s) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s) over the sequence spaces  $\ell_1$  and bv and generalized difference operator B(r, s) over the sequence spaces  $\ell_1$  and  $bv_p$ ,  $(1 \le p < \infty)$  were studied by Bilgiç and Furkan [11,12].

Typeset by ℬ<sup>S</sup>ℋstyle. ⓒ Soc. Paran. de Mat.

<sup>2000</sup> Mathematics Subject Classification: 47A10, 47B37.

Submitted September 25, 2015. Published December 19, 2015

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Fine spectrum of the generalized difference operator  $\Delta_v$  on the sequence space  $\ell_1$ was investigated by Srivastava and Kumar [28]. Panigrahi and Srivastava [24,25] studied the spectrum and fine spectrum of the second order difference operator  $\Delta_{uv}^2$ on the sequence space  $c_0$  and generalized second order forward difference operator  $\Delta_{uvw}^2$  on the sequence space  $\ell_1$ . Fine spectra of upper triangular double-band matrix U(r, s) over the sequence spaces  $c_0$  and c were studied by Karakaya and Altun [20]. Karaisa and Başar [19] have determined the spectrum and fine spectrum of the upper traiangular matrix A(r, s, t) over the sequence space  $\ell_p$ , (0 .In a further development, they have also determined the approximate point spectrum, defect spectrum and compression spectrum of the operator <math>A(r, s, t) on the sequence space  $\ell_p$ , (0 .

In this paper, we shall determine the spectrum and fine spectrum of the lower triangular matrix  $Z_s$  on the sequence space cs. Also, we determine the approximate point spectrum, the defect spectrum and the compression spectrum of the operator  $Z_s$  on the sequence space cs. Clearly,  $cs = \{x = (x_n) \in w : \lim_{n \to \infty} \sum_{i=0}^n x_i \ exists\}$  is a Banach space with respect to the norm  $||x||_{cs} = \sup_n |\sum_{i=0}^n x_i|$ .

### 2. Preliminaries and Background

Let X and Y be Banach spaces and  $T: X \to Y$  be a bounded linear operator. By R(T), we denote the range of T, i.e.

$$R(T) = \{ y \in Y : y = Tx, x \in X \}.$$

By B(X), we denote the set of all bounded linear operators on X into itself. If  $T \in B(X)$ , then the adjoint  $T^*$  of T is a bounded linear operator on the dual  $X^*$  of X defined by  $(T^*f)(x) = f(Tx)$ , for all  $f \in X^*$  and  $x \in X$ . Let  $X \neq \{\theta\}$  be a complex normed linear space, where  $\theta$  is the zero element and  $T: D(T) \to X$  be a linear operator with domain  $D(T) \subseteq X$ . With T, we associate the operator

$$T_{\lambda} = T - \lambda I,$$

where  $\lambda$  is a complex number and I is the identity operator on D(T). If  $T_{\lambda}$  has an inverse which is linear, we denote it by  $T_{\lambda}^{-1}$ , that is

$$T_{\lambda}^{-1} = (T - \lambda I)^{-1},$$

and call it the *resolvent* operator of T.

A regular value  $\lambda$  of T is a complex number such that

- (R1)  $T_{\lambda}^{-1}$  exists,
- (R2)  $T_{\lambda}^{-1}$  is bounded

(R3)  $T_{\lambda}^{-1}$  is defined on a set which is dense in X i.e.  $\overline{R(T_{\lambda})} = X$ .

The resolvent set of T, denoted by  $\rho(T, X)$ , is the set of all regular values  $\lambda$  of T. Its complement  $\sigma(T, X) = \mathbb{C} - \rho(T, X)$  in the complex plane  $\mathbb{C}$  is called

the spectrum of T. Furthermore, the spectrum  $\sigma(T, X)$  is partitioned into three disjoint sets as follows:

The point(discrete) spectrum  $\sigma_p(T, X)$  is the set of all  $\lambda \in \mathbb{C}$  such that  $T_{\lambda}^{-1}$ does not exist. Any such  $\lambda \in \sigma_p(T, X)$  is called an eigenvalue of T.

The continuous spectrum  $\sigma_c(T, X)$  is the set of all  $\lambda \in \mathbb{C}$  such that  $T_{\lambda}^{-1}$  exists and satisfies (R3), but not (R2), that is,  $T_{\lambda}^{-1}$  is unbounded. The residual spectrum  $\sigma_r(T, X)$  is the set of all  $\lambda \in \mathbb{C}$  such that  $T_{\lambda}^{-1}$  exists (and may be bounded or not), but does not satisfy (R3), that is, the domain of  $T_{\lambda}^{-1}$  is not dense in X.

From Goldberg [17], if X is a Banach space and  $T \in B(X)$ , then there are three possibilities for R(T) and  $T^{-1}$ :

- (I) R(T) = X,
- (II)  $R(T) \neq \overline{R(T)} = X$
- (III)  $\overline{R(T)} \neq X$

and

- (1)  $T^{-1}$  exists and is continuous,
- (2)  $T^{-1}$  exists but is discontinuous,
- (3)  $T^{-1}$  does not exist.

If these possibilities are combined in all possible ways, nine different states are created which may be shown as in the Table 1.

	Ι	II	III
1	$\rho(T,X)$		$\sigma_r(T,X)$
2	$\sigma_c(T,X)$	$\sigma_c(T,X)$	$\sigma_r(T,X)$
3	$\sigma_p(T,X)$	$\sigma_p(T, X)$	$\sigma_p(T,X)$

Table 1: Subdivisions of spectrum of a linear operator

These are labeled by:  $I_1$ ,  $I_2$ ,  $I_3$ ,  $II_1$ ,  $II_2$ ,  $II_3$ ,  $III_1$ ,  $III_2$  and  $III_3$ . If  $\lambda$  is a complex number such that  $T_{\lambda} \in I_1$  or  $T_{\lambda} \in I_2$ , then  $\lambda$  is in the resolvent set  $\rho(T, X)$ of T. The further classification gives rise to the fine spectrum of T. If an operator is in state  $II_2$ , then  $R(T_{\lambda}) \neq \overline{R(T_{\lambda})} = X$  and  $T_{\lambda}^{-1}$  exists but is discontinuous and we write  $\lambda \in II_2\sigma(T, X)$ . The state  $II_1$  is impossible as if  $T_{\lambda}$  is injective, then from Kreyszig [[22], Problem 6, p.290]  $T_{\lambda}^{-1}$  is bounded and hence continuous if and only if  $R(T_{\lambda})$  is closed.

Again, following Appell et al. [8], we define the three more subdivisions of the spectrum called as the approximate point spectrum, defect spectrum and compression spectrum.

Given a bounded linear operator T in a Banach space X, we call a sequence  $(x_k)$  in X as a Weyl sequence for T if  $||x_k|| = 1$  and  $||Tx_k|| \to 0$  as  $k \to \infty$ .

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The  $approximate\ point\ spectrum\ of\ T$  , denoted by  $\sigma_{ap}(T,X)$  , is defined as the set

$$\sigma_{ap}(T,X) = \{\lambda \in \mathbb{C} : there \ exists \ a \ Weyl \ sequence \ for \quad T - \lambda I\}$$
(2.1)

The *defect spectrum* of T, denoted by  $\sigma_{\delta}(T, X)$ , is defined as the set

$$\sigma_{\delta}(T,X) = \{\lambda \in \mathbb{C} : T - \lambda I \quad is \ not \ surjective\}$$

$$(2.2)$$

The two subspectra given by equations (2.1) and (2.2) form a (not necessarily disjoint) subdivisions

$$\sigma(T, X) = \sigma_{ap}(T, X) \cup \sigma_{\delta}(T, X) \tag{2.3}$$

of the spectrum. There is another subspectrum

$$\sigma_{co}(T,X) = \{\lambda \in \mathbb{C} : \overline{R(T-\lambda I)} \neq X\}$$

which is often called the *compression spectrum* of T. The compression spectrum gives rise to another (not necessarily disjoint) decomposition

$$\sigma(T, X) = \sigma_{ap}(T, X) \cup \sigma_{co}(T, X)$$
(2.4)

Clearly,  $\sigma_p(T, X) \subseteq \sigma_{ap}(T, X)$  and  $\sigma_{co}(T, X) \subseteq \sigma_{\delta}(T, X)$ . Moreover, it is easy to verify that  $\sigma_r(T, X) = \sigma_{co}(T, X) \setminus \sigma_p(T, X)$  and  $\sigma_c(T, X) = \sigma(T, X) \setminus [\sigma_p(T, X) \cup \sigma_{co}(T, X)]$ .

By the definitions given above, we can illustrate the subdivisions of spectrum of a bounded linear operator in the Table 2.

		1	2	3
		$T_{\lambda}^{-1}$ exists	$T_{\lambda}^{-1}$ exists and	$T_{\lambda}^{-1}$ does not
		and is bounded	is not bounded	exist
Ι	$R(T - \lambda I) = X$	$\lambda \in \rho(T, X)$	• • •	$\lambda \in \sigma_p(T, X)$
				$\lambda \in \sigma_{ap}(T, X)$
			$\lambda \in \sigma_c(T, X)$	$\lambda \in \sigma_p(T, X)$
II	$\overline{R(T-\lambda I)} = X$	$\lambda \in \rho(T, X)$	$\lambda \in \sigma_{ap}(T, X)$	$\lambda \in \sigma_{ap}(T, X)$
			$\lambda \in \sigma_{\delta}(T, X)$	$\lambda \in \sigma_{\delta}(T, X)$
		$\lambda \in \sigma_r(T, X)$	$\lambda \in \sigma_r(T, X)$	$\lambda \in \sigma_p(T, X)$
III	$\overline{R(T-\lambda I)} \neq X$	$\lambda \in \sigma_{\delta}(T, X)$	$\lambda \in \sigma_{ap}(T, X)$	$\lambda \in \sigma_{ap}(T, X)$
		$\lambda \in \sigma_{co}(T, X)$	$\lambda \in \sigma_{\delta}(T, X)$	$\lambda \in \sigma_{\delta}(T, X)$
			$\lambda \in \sigma_{co}(T, X)$	$\lambda \in \sigma_{co}(T, X)$

Table 2: Subdivisions of spectrum of a linear operator

**Proposition 2.1.** [Appell et al. [8], Proposition 1.3, p. 28] Spectra and subspectra of an operator  $T \in B(X)$  and its adjoint  $T^* \in B(X^*)$  are related by the following relations:

- (a)  $\sigma(T^*, X^*) = \sigma(T, X).$
- (b)  $\sigma_c(T^*, X^*) \subseteq \sigma_{ap}(T, X).$
- (c)  $\sigma_{ap}(T^*, X^*) = \sigma_{\delta}(T, X).$
- (d)  $\sigma_{\delta}(T^*, X^*) = \sigma_{ap}(T, X).$
- (e)  $\sigma_p(T^*, X^*) = \sigma_{co}(T, X).$
- (f)  $\sigma_{co}(T^*, X^*) \supseteq \sigma_p(T, X).$
- $(g) \ \sigma(T,X) = \sigma_{ap}(T,X) \cup \sigma_p(T^*,X^*) = \sigma_p(T,X) \cup \sigma_{ap}(T^*,X^*).$

The relations (c)-(f) show that the approximate point spectrum is in a certain sense dual to defect spectrum, and the point spectrum dual to the compression spectrum. The equality (g) implies, in particular, that  $\sigma(T, X) = \sigma_{ap}(T, X)$  if X is a Hilbert space and T is normal. Roughly speaking, this shows that normal (in particular, self-adjoint) operators on Hilbert spaces are most similar to matrices in finite dimensional spaces (Appell et al. [8]).

Let  $\lambda$  and  $\mu$  be two sequence spaces and  $A = (a_{nk})$  be an infinite matrix of real or complex numbers  $a_{nk}$ , where  $n, k \in \mathbb{N}_0 = \{0, 1, 2, ...\}$ . Then, we say that A defines a matrix mapping from  $\lambda$  into  $\mu$ , and we denote it by  $A : \lambda \to \mu$ , if for every sequence  $x = (x_k) \in \lambda$ , the sequence  $Ax = \{(Ax)_n\}$ , the A-transform of x, is in  $\mu$ , where

$$(Ax)_n = \sum_{k=0}^{\infty} a_{nk} x_k, \quad n \in \mathbb{N}_0.$$
(2.5)

By  $(\lambda : \mu)$ , we denote the class of all matrices such that  $A : \lambda \to \mu$ . Thus,  $A \in (\lambda : \mu)$  if and only if the series on the right hand side of equation (2.5) converges for each  $n \in \mathbb{N}_0$  and every  $x \in \lambda$  and we have  $Ax = \{(Ax)_n\}_{n \in \mathbb{N}_0} \in \mu$  for all  $x \in \lambda$ .

The Zweier matrix  $Z_s$  is an infinite lower triangular matrix of the form

$$Z_s = \begin{pmatrix} s & 0 & 0 & 0 & \cdots \\ 1 - s & s & 0 & 0 & \cdots \\ 0 & 1 - s & s & 0 & \cdots \\ 0 & 0 & 1 - s & s & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

where  $s \neq 0, 1$ .

The following results will be used in order to establish the results of this article.

**Lemma 2.1.** [Wilansky [35] Example 6B, Page 130] The matrix  $A = (a_{nk})$  gives rise to a bounded linear operator  $T \in B(cs)$  from cs to itself if and only if:

- (i)  $\sup_{m} \sum_{k} |\sum_{n=1}^{m} (a_{nk} a_{n,k-1})| < \infty.$
- (ii)  $\sum_{n} a_{nk}$  is convergent for each k.

**Lemma 2.2.** [Goldberg [17], Page 59] T has a dense range if and only if  $T^*$  is one to one.

**Lemma 2.3.** [Goldberg [17], Page 60] T has a bounded inverse if and only if  $T^*$  is onto.

# 3. Spectrum and fine spectrum of the operator $Z_s$ over the sequence space cs

**Theorem 3.1.**  $Z_s : cs \to cs$  is a bounded linear operator and

$$|| Z_s ||_{(cs:cs)} \le |s| + |1 - s|.$$

**Proof:** From Lemma 2.1, it is easy to show that  $Z_s : cs \to cs$  is a bounded linear operator. Now,

$$|Z_s(x)| = \left| \sum_{i=0}^n sx_i + \sum_{i=0}^{n-1} (1-s)x_i \right|$$
  
$$\leq |s| \left| \sum_{i=0}^n x_i \right| + |1-s| \left| \sum_{i=0}^{n-1} x_i \right|$$
  
$$\leq (|s|+|1-s|) ||x||_{cs}$$

and hence,  $||Z_s||_{(cs:cs)} \leq |s| + |1 - s|$ . Hence the result.

From Theorem 2.1 in [4], we get the spectrum of the operator B(r, s) on the sequence space  $c_0$  is  $\sigma(B(r, s), c_0) = \{\alpha \in \mathbb{C} : |\alpha - r| \leq |s|\}$ , where the operator B(r, s) is given by the lower triangular matrix

$$B(r,s) = \begin{pmatrix} r & 0 & 0 & 0 & \cdots \\ s & r & 0 & 0 & \cdots \\ 0 & s & r & 0 & \cdots \\ 0 & 0 & s & r & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

where  $s \neq 0$ . The lower triangular matrix  $Z_s$  is a special case of B(r, s). Also the sequence space cs is a subspace of  $c_0$ . Therefore we can expect that

$$\sigma(Z_s, cs) \subseteq \{ \alpha \in \mathbb{C} : |\alpha - s| \le |1 - s| \}.$$

In the following theorem we give an independent proof of our expectation.

**Theorem 3.2.** The spectrum of the operator  $Z_s$  over cs is given by

$$\sigma(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| \le |1 - s| \}.$$

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**Proof:** We prove this theorem by showing that  $(Z_s - \alpha I)^{-1}$  exists and is in (cs:cs) for  $|\alpha - s| > |1 - s|$ , and then show that the operator  $Z_s - \alpha I$  is not invertible for  $|\alpha - s| \le |1 - s|.$ 

Let  $\alpha$  be such that  $|\alpha - s| > |1 - s|$ . Since  $s \neq 1$  we have  $\alpha \neq s$  and so  $Z_s - \alpha I$  is a triangle, therefore  $(Z_s - \alpha I)^{-1}$  exists. Let  $y = (y_n) \in cs$ . Solving  $(Z_s - \alpha I)x = y$ for x in terms of y we get

$$(Z_s - \alpha I)^{-1} = (a_{nk})$$

$$= \begin{pmatrix} \frac{1}{s-\alpha} & 0 & 0 & 0 & \cdots \\ \frac{s-1}{(s-\alpha)^2} & \frac{1}{s-\alpha} & 0 & 0 & \cdots \\ \frac{(s-1)^2}{(s-\alpha)^3} & \frac{s-1}{(s-\alpha)^2} & \frac{1}{s-\alpha} & 0 & \cdots \\ \frac{(s-1)^3}{(s-\alpha)^4} & \frac{(s-1)^2}{(s-\alpha)^3} & \frac{s-1}{(s-\alpha)^2} & \frac{1}{s-\alpha} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

It is easy to show that for all m,

$$\sum_{k} \left| \sum_{n=1}^{m} (a_{nk} - a_{n,k-1}) \right| \leq \frac{1}{|s-\alpha|} + \frac{|s-1|}{|s-\alpha|^2} + \frac{|s-1|^2}{|s-\alpha|^3} + \dots + \frac{|s-1|^m}{|s-\alpha|^{m+1}}$$

and hence,  $\sup_{m} \sum_{k} \left| \sum_{n=1}^{m} (a_{nk} - a_{n,k-1}) \right| < \infty$ , as  $|\alpha - s| > |1 - s|$ .

Since  $|\alpha - s| > |1 - s|$ , so for all k, the series

$$\sum_{n} a_{nk} = \frac{1}{r - \alpha} - \frac{s}{(r - \alpha)^2} + \frac{s^2}{(r - \alpha)^3} - \dots$$
(3.1)

is also convergent. So, by Lemma 2.1,  $(Z_s - \alpha I)^{-1}$  is in (cs:cs). This shows that  $\sigma(Z_s, cs) \subseteq \{\alpha \in \mathbb{C} : |\alpha - s| \le |1 - s|\}.$ 

Now, let  $\alpha \in \mathbb{C}$  be such that  $|\alpha - s| \leq |1 - s|$ . If  $\alpha \neq s$ , then  $Z_s - \alpha I$  is a triangle and hence,  $(Z_s - \alpha I)^{-1}$  exists. Let y = (1, 0, 0, 0, ...). Then  $y \in cs$ . Now,  $(Z_s - \alpha I)^{-1}y = x$  gives

$$x_n = \frac{(s-1)^n}{(s-\alpha)^{n+1}}.$$

Since  $|\alpha - s| \leq |1 - s|$ , so the series

$$\sum_{n=0}^{\infty} x_n = \sum_{n=0}^{\infty} \frac{(s-1)^n}{(s-\alpha)^{n+1}} = \frac{1}{s-\alpha} \sum_{n=0}^{\infty} \left(-\frac{s-1}{s-\alpha}\right)^n$$

is not convergent and hence,  $x = (x_n) \notin cs$ . Therefore,  $(Z_s - \alpha I)^{-1}$  is not in (cs:cs) and so  $\alpha \in \sigma(Z_s,cs)$ .

If  $\alpha = s$ , then the operator  $Z_s - \alpha I$  is represented by the matrix

$$Z_s - sI = \begin{pmatrix} 0 & 0 & 0 & 0 & \cdots \\ 1 - s & 0 & 0 & 0 & \cdots \\ 0 & 1 - s & 0 & 0 & \cdots \\ 0 & 0 & 1 - s & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

Since, the range of  $Z_s - \alpha I$  is not dense, so  $\alpha \in \sigma(Z_s, cs)$ . Hence,

$$\{\alpha \in \mathbb{C} : |\alpha - s| \le |1 - s|\} \subseteq \sigma(Z_s, cs)$$

This completes the proof.

**Theorem 3.3.** The point spectrum of the operator  $Z_s$  over cs is given by

 $\sigma_p(Z_s, cs) = \phi.$ 

**Proof:** Let  $\alpha$  be an eigenvalue of the operator  $Z_s$ . Then there exists  $x \neq \theta = (0, 0, 0, ...)$  in *cs* such that  $Z_s x = \alpha x$ . Then, we have

$$sx_0 = \alpha x_0$$

$$(1-s)x_0 + sx_1 = \alpha x_1$$

$$(1-s)x_1 + sx_2 = \alpha x_2$$

$$\dots$$

$$(1-s)x_n + sx_{n+1} = \alpha x_{n+1}, \quad n \ge 0$$

If  $x_k$  is the first non-zero entry of the sequence  $(x_n)$  , then  $\alpha=s$  . Then from the relation

 $(1-s)x_k + sx_{k+1} = \alpha x_{k+1}$ , we have  $(1-s)x_k = 0$ . But  $s \neq 1$  and hence,  $x_k = 0$ , a contradiction. Hence,  $\sigma_p(Z_s, cs) = \phi$ .

If  $T: cs \to cs$  is a bounded linear operator represented by a matrix A, then it is known that the adjoint operator  $T^*: cs^* \to cs^*$  is defined by the transpose  $A^t$ of the matrix A. It should be noted that the dual space  $cs^*$  of cs is isometrically isomorphic to the Banach space bv of all bounded variation sequences normed by  $|| x ||_{bv} = \sum_{n=0}^{\infty} |x_{n+1} - x_n| + \lim_{n \to \infty} |x_n|.$ 

**Theorem 3.4.** The point spectrum of the operator  $Z_s^*$  over  $cs^*$  is given by

$$\sigma_p(Z_s^*, cs^* \cong bv) = \{ \alpha \in \mathbb{C} : |\alpha - s| < |1 - s| \}.$$

**Proof:** Let  $\alpha$  be an eigenvalue of the operator  $Z_s^*$ . Then there exists  $x \neq \theta = (0, 0, 0, ...)$  in bv such that  $Z_s^* x = \alpha x$ . Then, we have

$$Z_s^t x = \alpha x$$
  

$$\Rightarrow sx_0 + (1 - s)x_1 = \alpha x_0$$
  

$$sx_1 + (1 - s)x_2 = \alpha x_1$$
  

$$sx_2 + (1 - s)x_3 = \alpha x_2$$
  

$$\dots$$
  

$$sx_n + (1 - s)x_{n+1} = \alpha x_n, \quad n \ge 0$$

Then, we have

$$x_n = \left(\frac{\alpha - s}{1 - s}\right)^n x_0$$

Since  $x = (x_n) \in bv$ , so  $x = (x_n) \in c$ . The sequence  $(x_n)$  is convergent if and only if  $|\alpha - s| < |1 - s|$ . Hence,  $\sigma_p(Z_s^*, cs^* \cong bv) = \{\alpha \in \mathbb{C} : |\alpha - s| < |1 - s|\}$ .  $\Box$ 

**Theorem 3.5.** The residual spectrum of the operator  $Z_s$  over cs is given by

$$\sigma_r(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| < |1 - s| \}.$$

**Proof:** Since,

$$\sigma_r(Z_s, cs) = \sigma_p(Z_s^*, cs^*) \setminus \sigma_p(Z_s, cs),$$

so we get the required result by using Theorem 3.3 and Theorem 3.4.

**Theorem 3.6.** The continuous spectrum of the operator  $Z_s$  over cs is given by

$$\sigma_c(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| = |1 - s| \}.$$

**Proof:** Since,  $\sigma(Z_s, cs)$  is the disjoint union of  $\sigma_p(Z_s, cs)$ ,  $\sigma_r(Z_s, cs)$  and  $\sigma_c(Z_s, cs)$ , therefore, by Theorem 3.2, Theorem 3.3 and Theorem 3.5, we get

$$\sigma_c(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| = |1 - s| \}.$$

**Theorem 3.7.** If  $\alpha = s$ , then  $\alpha \in III_1\sigma(Z_s, cs)$ .

**Proof:** If  $\alpha = s$ , the range of  $Z_s - \alpha I$  is not dense. So, from Table 2 and Theorem 3.3, we have  $\alpha \in \sigma_r(Z_s, cs)$ . From Table 2,

$$\sigma_r(Z_s, cs) = III_1 \sigma(Z_s, cs) \cup III_2 \sigma(Z_s, cs)$$

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Therefore,  $\alpha \in III_1\sigma(Z_s, cs)$  or  $\alpha \in III_2\sigma(Z_s, cs)$ . Also for  $\alpha = s$ ,

$$Z_s - \alpha I = \begin{pmatrix} 0 & 0 & 0 & 0 & \cdots \\ 1 - s & 0 & 0 & 0 & \cdots \\ 0 & 1 - s & 0 & 0 & \cdots \\ 0 & 0 & 1 - s & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

To prove the result, it is enough to show that the operator  $Z_s - \alpha I$  is bounded below. It is easy to verify that for all  $x \in cs$ , we have

$$\parallel (Z_s - \alpha I)x \parallel \ge \frac{|1 - s|}{2} \parallel x \parallel$$

which shows that the operator  $Z_s - \alpha I$  is bounded below and so  $Z_s - \alpha I$  has a bounded inverse. This completes the theorem.

**Theorem 3.8.** If  $\alpha \neq s$  and  $\alpha \in \sigma_r(Z_s, cs)$ , then  $\alpha \in III_2\sigma(Z_s, cs)$ .

**Proof:** Since, $\alpha \in \sigma_r(Z_s, cs)$ , therefore, from Table 2,

$$\alpha \in III_1\sigma(Z_s, cs)$$
 or  $\alpha \in III_2\sigma(Z_s, cs)$ .

Now,  $\alpha \in \sigma_r(Z_s, cs)$  implies that  $|\alpha - s| < |1 - s|$ . Therefore, the series (3.1) in Theorem 3.2 is not convergent and hence, the operator  $Z_s - \alpha I$  has no bounded inverse.

Therefore,  $\alpha \in III_2\sigma(Z_s, cs)$ .

**Theorem 3.9.** If  $\alpha \in \sigma_c(Z_s, cs)$ , then  $\alpha \in II_2\sigma(Z_s, cs)$ .

**Proof:** If  $\alpha \in \sigma_c(Z_s, cs)$ , then  $|\alpha - s| = |1 - s|$ . Therefore, the series (3.1) in Theorem 3.2 is not convergent and hence, the operator  $Z_s - \alpha I$  has no bounded inverse. So,  $\alpha$  satisfies Goldberg's condition 2.

Now we shall show that the operator  $Z_s - \alpha I$  is not onto.

Let  $y = (y_n) = (1, 0, 0, 0, ...)$ . Clearly, $(y_n) \in cs$ .

Let  $x = (x_n)$  be a sequence such that  $(Z_s - \alpha I)x = y$ .

On solving, we get

$$x_n = \frac{(s-1)^n}{(s-\alpha)^{n+1}}$$

Now, the series

$$\sum_{n=0}^{\infty} x_n = \sum_{n=0}^{\infty} \frac{(s-1)^n}{(s-\alpha)^{n+1}} = \frac{1}{s-\alpha} \sum_{n=0}^{\infty} \left(\frac{s-1}{s-\alpha}\right)^n$$

is not convergent as  $|\alpha - s| = |1 - s|$  and hence the operator  $Z_s - \alpha I$  is not onto. So,  $\alpha$  satisfies Goldberg's condition II. This completes the proof.

**Theorem 3.10.** The approximate point spectrum of the operator  $Z_s$  over cs is given by

$$\sigma_{ap}(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| \le |1 - s| \} \setminus \{s\}.$$

Proof: From Table 2,

$$\sigma_{ap}(Z_s, cs) = \sigma(Z_s, cs) \setminus III_1 \sigma(Z_s, cs).$$

By Theorem 3.7,  $III_1\sigma(Z_s,cs)=\{s\}$  . This completes the proof.

**Theorem 3.11.** The compression spectrum of the operator  $Z_s$  over cs is given by

$$\sigma_{co}(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| < |1 - s| \}.$$

**Proof:** By Proposition 2.1(e), we get

$$\sigma_p(Z_s^*, cs^*) = \sigma_{co}(Z_s, cs).$$

Using Theorem 3.4, we get the required result.

**Theorem 3.12.** The defect spectrum of the operator  $Z_s$  over cs is given by

$$\sigma_{\delta}(Z_s, cs) = \{ \alpha \in \mathbb{C} : |\alpha - s| \le |1 - s| \}.$$

**Proof:** From Table 2, we have

$$\sigma_{\delta}(Z_s, cs) = \sigma(Z_s, cs) \setminus I_3 \sigma(Z_s, cs).$$

Also,

$$\sigma_p(Z_s, cs) = I_3 \sigma(Z_s, cs) \cup II_3 \sigma(Z_s, cs) \cup III_3 \sigma(Z_s, cs)$$

By Theorem 3.3, we have  $\sigma_p(Z_s, cs) = \phi$  and so  $I_3\sigma(Z_s, cs) = \phi$ . Hence,  $\sigma_{\delta}(Z_s, cs) = \{\alpha \in \mathbb{C} : |\alpha - s| \le |1 - s|\}.$ 

Corollary 3.1. The following statements hold:

(i) 
$$\sigma_{ap}(Z_s^*, cs^* \cong bv) = \{\alpha \in \mathbb{C} : |\alpha - s| \le |1 - s|\}.$$
  
(ii)  $\sigma_{\delta}(Z_s^*, cs^* \cong bv) = \{\alpha \in \mathbb{C} : |\alpha - s| \le |1 - s|\} \setminus \{s\}$ 

**Proof:** Using Proposition 2.1 (c) and (d), we get

$$\sigma_{ap}(Z_s^*, cs^* \cong bv) = \sigma_{\delta}(Z_s, cs)$$

and

$$\sigma_{\delta}(Z_s^*, cs^* \cong bv) = \sigma_{ap}(Z_s, cs)$$

Using Theorem 3.10 and Theorem 3.12, we get the required results.

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# Acknowledgments

The authors are grateful to the referees for their careful readings of the details and making useful comments that improved this paper.

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